COLUMBUS MCKINNON CORP Form 5 May 12, 2009 OMB APPROVAL FORM 5 OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer HARVEY TIMOTHY R Symbol COLUMBUS MCKINNON CORP (Check all applicable) [CMCO] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner \_ Officer (give title Х Other (specify (Month/Day/Year) below) below) 03/31/2009 General Counsel 140 JOHN JAMES AUDUBON PARKWAY. ' (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) AMHERST, NYÂ 14228-1197 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Ownership Indirect (Instr. 3) Code Disposed of (D) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at Direct (D) Ownership end of or Indirect (Instr. 4) Issuer's (I)Fiscal Year (Instr. 4) (A) (Instr. 3 and or 4) Amount (D) Price Common Â Â Â Â Â Â Â 3,817 (1) D Stock

Common

Common

Â

Stock

Stock

## Edgar Filing: COLUMBUS MCKINNON CORP - Form 5

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Reminder: Report o securities beneficial		Persons who respond to the collection of information contained in this form are not required to respond unles the form displays a currently valid OMB control number												
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Numl of Deriv Secur Acqu (A) o Dispo of (D (Instr 4, and	(Month/Day/Year) vative urities uired or oosed D) r. 3,		e	7. Title and Amoun Underlying Securit (Instr. 3 and 4)					
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amor or Num of Share				
Non-Qualified Stock Options (Right to Buy)	\$ 28.45	Â	Â	Â	Â	Â	05/19/2009	05/18/2018	Common Stock	1,2				

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>			R		
		Director	10% Owner	Officer	Other
HARVEY TIMOTHY R 140 JOHN JAMES AUDUBON PARKWAY '		Â	Â	General Counsel	Â
AMHERST, NY.					
Signatures	i				
Timothy R. Harvey	05/12/2009				
<u>**</u> Signature of Reporting Person	Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 1,567 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 680 shares become fully vested and non-forfeitable 33.33%

- (1) on May 19, 2011, 33.33% on May 19, 2012 and 33.33% on May 19, 2013; if reporting person remains an employee of issuer and 887 shares become fully vested and non-forfeitable 33.33% on May 19, 2012, 33.33% on May 19, 2013 and 33.33% on May 19, 2014; if reporting person remains an employee of issuer and achieves performance targets.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Plan, as amended (the "ESOP").

Represents non-qualified stock options (originally reported as incentive stock options) issued to reporting person under the Columbus  $M_{i}^{(i)} = 0$ 

(3) McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006; options become exercisable 25% per year, beginning 5/19/09 for the next 4 years.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.