

HORACE MANN EDUCATORS CORP /DE/

Form 4

October 08, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Cardinal Steve

2. Issuer Name **and** Ticker or Trading
Symbol
HORACE MANN EDUCATORS
CORP /DE/ [HMN]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

1 HORACE MANN PLAZA

(Street)

SPRINGFIELD, IL 62715

3. Date of Earliest Transaction
(Month/Day/Year)
10/04/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)
EVP & Chief Marketing Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/04/2013		M ⁽¹⁾	8,408 A	\$ 9.04 73,126.093	D	
Common Stock	10/04/2013		S ⁽¹⁾	8,408 D	\$ 28.755 64,718.093	D	
Common Stock	10/07/2013		M ⁽¹⁾	2,774 A	\$ 6.91 67,492.093	D	
Common Stock	10/07/2013		S ⁽¹⁾	2,774 D	\$ 28.78 64,718.093	D	
Common Stock	10/07/2013		M ⁽¹⁾	10,451 A	\$ 9.04 75,169.093	D	

Common Stock	10/07/2013	S ⁽¹⁾	10,451	D	\$ 28.937 (4)	64,718.093	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Employee Stock Option (right to buy)	\$ 9.04	10/04/2013		M ⁽¹⁾		8,408		12/10/2010	12/10/2015	Common Stock	8,408
Employee Stock Option (right to buy)	\$ 6.91	10/07/2013		M ⁽¹⁾		2,774		03/04/2013	03/04/2016	Common Stock	2,774
Employee Stock Option (right to buy)	\$ 9.04	10/07/2013		M ⁽¹⁾		10,451		12/10/2011	12/10/2015	Common Stock	10,451

Reporting Owners

Reporting Owner Name / Address	Relationships
Cardinal Steve 1 HORACE MANN PLAZA SPRINGFIELD, IL 62715	Director 10% Owner Officer EVP & Chief Marketing Officer

Signatures

Linea K. Michael, Attorney in Fact for Stephen P.
Cardinal

10/08/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercises and sales reported in this Form 4 were effected by a Rule 10b5-1 trading plan adopted by the reporting person on June 26, 2013.
- (2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$28.31 to \$28.91.
- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$28.64 to \$28.85.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$28.85 to \$29.05.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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