

ALLIED MOTION TECHNOLOGIES INC  
Form 4  
March 03, 2017

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
WARZALA RICHARD S

(Last) (First) (Middle)

ALLIED MOTION TECHNOLOGIES INC., 495 COMMERCE DRIVE, SUITE 3

(Street)

AMHERST, NY 14228

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ALLIED MOTION TECHNOLOGIES INC [AMOT]

3. Date of Earliest Transaction (Month/Day/Year)  
01/12/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chief Executive Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |           |   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|-----------|---|
|                                 |                                      |  | Code                           | V   | Amount or Price (A) or (D)  |  |   |           |   |
| Common Stock                    | 01/12/2017                           |  | F                              |   | 1,068<br>(1)  | D  | \$ 21.32  | 1,021,939 | D |
| Common Stock                    | 03/01/2017                           |  | D                              |   | 5,733<br>(2)  | D  | \$ 0  | 1,016,206 | D |
| Common Stock                    | 03/01/2017                           |  | A                              |   | 6,824<br>(3)  | A  | \$ 0  | 1,023,030 | D |
| Common Stock                    | 03/01/2017                           |  | A                              |   | 13,650<br>(4)   | A  | \$ 0  | 1,036,680 | D |
|                                 |                                      |  |                                |   |   |  |   | 15,139    | I |

Common  
Stock

By ESOP  
Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                         |       |
|--|---------------|-----------|-------------------------|-------|
|  | Director      | 10% Owner | Officer                 | Other |
| WARZALA RICHARD S<br>ALLIED MOTION TECHNOLOGIES INC.<br>495 COMMERCE DRIVE, SUITE 3<br>AMHERST, NY 14228 | X             | X         | Chief Executive Officer |       |

## Signatures

Susan M. Chiarmonte, Attorney-in-Fact for Richard S. Warzala

03/03/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On January 12, 2017, 2,004 restricted shares vested and the Reporting Person instructed the Company to withhold 1,068 shares of common stock to cover tax withholding obligations as permitted under the Company's 2007 Stock Incentive Plan, as amended.

(2) Forfeiture of unearned performance shares previously granted pursuant to the Company's 2007 Stock Incentive Plan.

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- (3) Grant of restricted shares pursuant to the Company's 2007 Stock Incentive Plan. These restricted shares vest one-third each on March 31, 2018, 2019 and 2020.

- Grant of performance shares pursuant to the Company's 2007 Stock Incentive Plan. All or a portion of these STIP performance shares will
- (4) vest over a three-year period upon the satisfaction of certain performance goals established by the Compensation Committee for the year ending December 31, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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