

Flannery John Leonard
Form 3
August 03, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>^ Flannery John Leonard</p> <p>(Last) (First) (Middle)</p> <p>41 FARNSWORTH STREET</p> <p>(Street)</p> <p>BOSTON, ^ MA ^ 02210</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>08/01/2017</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>GENERAL ELECTRIC CO [GE]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>CEO and Director</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	509,430	D	^
Common Stock	2,017	I	by 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â (2)	Â (2)	Common Stock	10,000	\$ (1)	D	Â
Restricted Stock Units	Â (3)	Â (3)	Common Stock	40,000	\$ (1)	D	Â
Restricted Stock Units	Â (4)	Â (4)	Common Stock	24,000	\$ (1)	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Stock	27,000	\$ (1)	D	Â
Employee Stock Option (right to buy) (6)	09/07/2008	09/07/2017	Common Stock	37,500	\$ 38.75	D	Â
Employee Stock Option (right to buy) (6)	07/23/2010	07/23/2019	Common Stock	100,000	\$ 11.95	D	Â
Employee Stock Option (right to buy) (6)	06/10/2011	06/10/2020	Common Stock	350,000	\$ 15.68	D	Â
Employee Stock Option (right to buy) (6)	06/09/2012	06/09/2021	Common Stock	450,000	\$ 18.58	D	Â
Employee Stock Option (right to buy) (6)	09/07/2013	09/07/2022	Common Stock	500,000	\$ 21.59	D	Â
Employee Stock Option (right to buy) (6)	09/13/2014	09/13/2023	Common Stock	400,000	\$ 23.78	D	Â
Employee Stock Option (right to buy) (6)	09/05/2015	09/05/2024	Common Stock	450,000	\$ 26.1	D	Â
Employee Stock Option (right to buy) (6)	09/11/2016	09/11/2025	Common Stock	150,000	\$ 24.95	D	Â
Employee Stock Option (right to buy) (6)	09/09/2017	09/09/2026	Common Stock	200,000	\$ 30.11	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Flannery John Leonard 41 FARNSWORTH STREET BOSTON, MA 02210	Â	Â	Â CEO and Director	Â

Signatures

Brian Sandstrom on behalf of John L. Flannery
08/03/2017

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) 1-for-1
- (2) 50,000 units granted on 7/25/2013; 10,000 units vested on 7/25/2014; 10,000 units vested on 7/25/2015; 10,000 units vested on 7/25/2016; 10,000 units vested on 7/25/2017; and 10,000 units are scheduled to vest on 7/25/2018.
- (3) 100,000 units granted on 7/24/2014; 20,000 units vested on 7/24/2015; 20,000 units vested on 7/24/2016; 20,000 units vested on 7/24/2017; 20,000 units are scheduled to vest on 7/24/2018; and 20,000 units are scheduled to vest on 7/24/2019.
- (4) 30,000 units granted on 9/11/2015; 6,000 units vested on 9/11/2016; 6,000 units are scheduled to vest on 9/11/2017; 6,000 units are scheduled to vest on 9/11/2018; 6,000 units are scheduled to vest on 9/11/2019; and 6,000 units are scheduled to vest on 9/11/2020.
- (5) 27,000 units granted on 9/9/2016; 5,400 units are scheduled to vest on 9/9/2017; 5,400 units are scheduled to vest on 9/9/2018; 5,400 units are scheduled to vest on 9/9/2019; 5,400 units are scheduled to vest on 9/9/2020; and 5,400 units are scheduled to vest on 9/9/2021.
- (6) The options become exercisable in five equal installments of 20% each beginning on the "Date Exercisable" shown to the right, and another 20% become exercisable each year thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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