OWEN JOSEPH J

Form 4 May 19, 2010

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number: 3235-0287

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1 Name and Address of Departing De

1. Name and Address of Reporting Person * OWEN JOSEPH J			2. Issuer Name and Ticker or Trading Symbol COLUMBUS MCKINNON CORP [CMCO]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) (Middle)  140 JOHN JAMES AUDUBON PARKWAY			3. Date of Earliest Transaction (Month/Day/Year) 05/17/2010			Director 10% Owner _X_ Officer (give title Other (specify below)  VP and Hoist Group Leader					
AMHERST,	4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									lly Owned		
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Yo	ear) Executi any	emed on Date, if /Day/Year)	Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3,	l (A) c l of (D	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock								5,574 <u>(1)</u>	D		
Common Stock	05/17/2010			A	1,851 (2)	A	\$0	7,425	D		
Common Stock	05/17/2010			A	753	A	\$0	8,178	D		
Common Stock								1,995 (3)	D		
Common								1 327	Ţ	By spouse	

1,327

By spouse

#### Edgar Filing: OWEN JOSEPH J - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Incentive Stock Options (Right to Buy)	\$ 10					08/20/2002	08/19/2011	Common Stock	22,5
Incentive Stock Options (Right to Buy)	\$ 5.46					05/17/2005	05/16/2014	Common Stock	7,5
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	1,6
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	4,7
Non-Qualified Stock Options (Right to Buy)	\$ 18.24	05/17/2010		A	3,516	(8)	05/16/2020	Common Stock	3,5

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
OWEN JOSEPH J 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP and Hoist Group			

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### **Signatures**

Joseph J. Owen 05/19/2010

\*\*Signature of
Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 2,791 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 876 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/19/2011, and the remaining 1,915 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/18/2011, if reporting person remains an employee of issuer.
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan (2) dated as of May 4, 2006, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- Originally a 40,500 share stock option, reporting person exercised 10,500 options on 2/13/2006, 2,500 options on 4/11/2006 and 5,000 options on 4/20/2006. The remaining 22,500 options are fully exercisable, subject to IRS limitations.
- Originally a 30,000 share stock option, reporting person exercised 7,500 options on 7/28/2005, 7,500 options on 6/11/2007 and 7,500 options on 2/08/2008. The remaining 7,500 options are fully exercisable, subject to IRS limitations.
- (6) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years, beginning 5/18/2010, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive (8) Plan dated as of May 4, 2006; options become exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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