

Pinkerton Mac S
Form 3
January 07, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Pinkerton Mac S | | (Month/Day/Year) | C H ROBINSON WORLDWIDE INC [CHRW] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | 01/01/2019 | | |
| 14701 CHARLSON ROAD | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Pres. of North America Trans. | |
| EDEN PRAIRIE,Â MNÂ 55347 | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 14,582 ⁽¹⁾ ⁽²⁾ | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | Date Exercisable Expiration Date | Title Amount or Number of | | | |

Edgar Filing: Pinkerton Mac S - Form 3

| | | | | Shares | | (I) (Instr. 5) | |
|-----------------------------|--------|------------|--------------|--------|----------|-------------------|---|
| Stock Option (right to buy) | Â (3) | 12/05/2028 | Common Stock | 12,821 | \$ 88.87 | D | Â |
| Stock Option (right to buy) | Â (4) | 12/06/2027 | Common Stock | 14,944 | \$ 87.15 | D | Â |
| Stock Option (right to buy) | Â (5) | 12/07/2026 | Common Stock | 12,934 | \$ 76.72 | D | Â |
| Stock Option (right to buy) | Â (6) | 12/02/2025 | Common Stock | 15,606 | \$ 63.58 | D | Â |
| Stock Option (right to buy) | Â (7) | 12/03/2024 | Common Stock | 5,983 | \$ 74.57 | D | Â |
| Stock Option (right to buy) | Â (8) | 12/04/2023 | Common Stock | 12,754 | \$ 58.25 | D | Â |
| Stock Option (right to buy) | Â (9) | 12/05/2022 | Common Stock | 6,853 | \$ 61.91 | D | Â |
| Stock Option (right to buy) | Â (10) | 12/07/2021 | Common Stock | 8,368 | \$ 68.81 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--|-------|
| | Director | 10% Owner | Officer | Other |
| Pinkerton Mac S 14701 CHARLSON ROAD EDEN PRAIRIE, MN 55347 | Â | Â | Â Pres. of North America Trans. | Â |

Signatures

/s/ Jessica Ursel, Attorney-in-Fact for Mac S.
Pinkerton

01/07/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Included in this amount are 10,253 shares of Issuer common stock issuable in settlement of an equal number of deferred shares credited to the reporting person's NQDC Plan account, and 2,832 shares held directly by the reporting person.
- (2) Includes 1,497 shares acquired pursuant to the issuer's employee stock purchase plan.
- (3) Stock option granted December 5, 2018 vests as to 20% of the shares subject to the option on December 31 in each of the years 2019-2023.
- (4) Stock option granted December 6, 2017 vests as to 20% of the shares subject to the option on December 31 in each of the years 2018-2022.
- (5)

Edgar Filing: Pinkerton Mac S - Form 3

Stock option granted December 7, 2016 vests as to 20% of the shares subject to the option on December 31 in each of the years 2017-2021.

- (6) Stock option granted December 2, 2015 vests as to 20% of the shares subject to the option on December 31 in each of the years 2016-2020.
- (7) Performance-based stock option granted December 3, 2014 that vests, becomes exercisable and reportable as and to the extent applicable performance conditions are satisfied.
- (8) Performance-based stock option granted December 4, 2013 that vests, becomes exercisable and reportable as and to the extent applicable performance conditions are satisfied.
- (9) Performance-based stock option granted December 5, 2012 is fully vested.
- (10) Performance-based stock option granted December 7, 2011 is fully vested.

Â

Remarks:

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.