Edgar Filing: Alt Konrad - Form 4

Form 4											
November 29									OMB AF	PROVAL	
	UNITE	D STATES	ES SECURITIES AND EXCHANGE COMMISSI Washington, D.C. 20549					COMMISSION	OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	6. r Filed p ns inue.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, e. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section							January 31 Expires: 2005 Estimated average burden hours per response 0.5		
(Print or Type I	Responses)										
Alt Konrad Symbol			r Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First)	(Middle)		Earliest Tr	L	.01]		(Checl	k all applicable		
3465 EAST FOOTHILL 11/28/20 BOULEVARD 4. If Ame				onth/Day/Year) /28/2018				Director 10% Owner XOfficer (give title Other (specify below) Chief Banking Officer			
			nendment, Date Original Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
PASADEN	A, CA 91107							Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Executio any	med on Date, if Day/Year)	3. Transactic Code (Instr. 8)	(Instr. 3,	(A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Class A Common Stock	11/28/2018			Code V F	Amount 8,212 (1)	(D) D	Price \$ 82.88	(instit 5 and 4) 73,513 (<u>2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Alt Konrad - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Alt Konrad 3465 EAST FOOTHILL BOULEVARD PASADENA, CA 91107			Chief Banking Officer				
Signatures							
/s/ Lina Davidian as attorney-in-fact for Konrad Alt		11/29	/2018				
<u>**</u> Signature of Reporting Person		Dat	te				
Explanation of Response	2001						

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents shares of Class A Common Stock that have been withheld by the issuer to satisfy its income tax withholding and remittance(1) obligations in connection with the net settlement of the RSUs, based on a price of \$82.88 per share, which represented the closing price of the issuer's Class A Common Stock on November 28, 2018, and does not represent a sale by the reporting person.

(2) Includes 398 shares acquired under the issuer's employee stock purchase plan on May 14, 2018 and November 14, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.