Edgar Filing: GARFINKLE DAVID - Form 4

GARFINKL	E DAVID											
Form 4												
July 31, 2018	3											
FORM	14								OMB AF	PROVAL		
	• • UNITEI) STATES		RITIES A shington,			NGE C	OMMISSION	OMB Number:	3235-0287		
Check the				8,					Expires:	January 31,		
if no longer subject to STATEMENT OF CHAN				GES IN	BENEF	ICIA	LOWN	NERSHIP OF		2005		
subject to Strate view of Chart				SECUR	ITIES				Estimated average burden hours per			
	Form 4 or						response 0.5					
Form 5	Filed p	ursuant to	Section 1	6(a) of the	e Securit	ies E	Exchange	e Act of 1934,	·			
obligation may cont		7(a) of the	Public U	tility Hold	ling Con	npan	y Act of	1935 or Section	1			
See Instru		30(h)	of the In	vestment	Compan	y Ac	t of 194	0				
1(b).												
(D												
(Print or Type F	Responses)											
1 Name and A	ddress of Penartin	a Derson *	. .	NT 1	7 . 1	т I.		5 Palationship of	Deporting Der	on(s) to		
GARFINKLE DAVID Symbol				Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
				_	_			(Check	k all applicable	;)		
(Last)	(First)	(Middle)		Earliest Tr	ansaction				100			
	CIVIC, INC., 10	า	(Month/E	-				Director X Officer (give		Owner er (specify		
	HILLS BOULE		07/30/2	018				below)	below)			
DURIONI	IILLS BOULE	VARD						EVP & Ch	ief Financial O	officer		
	(Street)		4. If Ame	ndment, Da	te Origina	1		6. Individual or Jo	int/Group Filir	g(Check		
			Filed(Mor	nth/Day/Year)			Applicable Line)				
								X Form filed by C Form filed by M				
NASHVILL	LE, TN 37215							Person		porting		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of	2. Transaction Da	ate 2A. Dee	med	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year) Execution Date,			Transactio		-		Securities	Form: Direct			
(Instr. 3)		Code (Instr. 3, 4 and 5) $(1 + 1)$					Beneficially		Beneficial			
		(Month/	Day/Year)	(Instr. 8)				Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
						(•)		Reported	(1115411-1)	(110411)		
						(A) or		Transaction(s)				
				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common	07/30/2018			М	5,010	А	\$ 9.13	105,082	D			
Stock	0773072018			111	(1)	Α	(1)	105,062	D			
Common				G	5 0 1 0	P	\$	100.072	D			
Stock	07/30/2018			S	5,010	D	25.31	100,072	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	 5. Number action f Derivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares
Employee Stock Option (Right to Buy)	\$ 9.13 <u>(1)</u>	07/30/2018		S		5,010 (1)	02/18/2013 <u>(2)</u>	02/18/2019	Common Stock	5,010

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
GARFINKLE DAVID C/O CORECIVIC, INC. 10 BURTON HILLS BOULEVARD NASHVILLE, TN 37215			EVP & Chief Financial Officer	
Signatures				
/s/Cameron Hopewell, Attorney-in-Fact	07	/31/2018		
** Signature of Reporting Person		Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

As adjusted to reflect an increase in the number of shares underlying the option and a decrease in the per share exercise price of such option as a result of the special dividend paid to stockholders of record on April 19, 2013 in connection with the Issuer's REIT conversion.

Twenty-five percent (25%) of options granted became exercisable on each of the first, second, third and fourth anniversary of the option

(2) grant date. Date Exercisable reflects the first date 100% of each option grant was exercisable (i.e., the fourth anniversary of the option grant date).

Remarks:

Exercise of employee stock options and sale of stock acquired upon exercise pursuant to Rule 10b5-1 trading plan. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Reporting Owners

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