

HAZEN SAMUEL N  
Form 4  
February 02, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HAZEN SAMUEL N

(Last) (First) (Middle)  
ONE PARK PLAZA  
(Street)  
NASHVILLE, TN 37203

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
HCA Healthcare, Inc. [HCA]

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/31/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
President & COO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code V	Amount	(A) or (D)	Price
Common Stock	01/31/2018		M	A	84,464		\$ 13.2075
Common Stock	01/31/2018		M	A	84,450		\$ 17.6471
Common Stock	01/31/2018		M	A	253,352		\$ 22.6415
Common Stock	01/31/2018		F	D	188,219		\$ 101.16
Common Stock	01/31/2018		S	D	56,000		\$ 100.4676
							<u>(1)</u>

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Common Stock	180,000	I	By LLC
Common Stock	144,584	I	By 2015 GRAT (Reporting Person, Trustee)
Common Stock	170,752	I	By Trust (Spouse, Trustee)
Common Stock	75,000	I	By LLC 92

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title Underlying (Instr. 3)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 13.2075 <sup>(2)</sup>	01/31/2018		M	84,464	11/17/2011	10/06/2019	Com St
Non-Qualified Stock Option (right to buy)	\$ 17.6471 <sup>(2)</sup>	01/31/2018		M	84,450	11/17/2010	10/06/2019	Com St
Non-Qualified Stock Option (right to buy)	\$ 22.6415 <sup>(2)</sup>	01/31/2018		M	253,352	<sup>(4)</sup>	10/06/2019	Com St
Stock Appreciation Right	\$ 101.16	01/31/2018		A	109,780	01/31/2019 <sup>(5)</sup>	01/31/2028	Com St

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer Other

HAZEN SAMUEL N  
ONE PARK PLAZA  
NASHVILLE, TN 37203

President & COO

## Signatures

/s/ Natalie Harrison Cline,  
Attorney-in-Fact

02/02/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$100.24 to \$100.67, inclusive. The reporting person undertakes to provide to HCA Healthcare, Inc., any security holder of HCA Healthcare, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (1) Exercise Price was adjusted to reflect a 4.505 to 1 stock split that occurred with respect to the Issuer's common stock effective March 9, 2011.
  - (2) Shares have been adjusted to reflect a 4.505 to 1 stock split that occurred with respect to the Issuer's common stock effective March 9, 2011.
  - (3) Two thirds of the option was vested upon grant on October 6, 2009, and one third of the option vested on November 17, 2009
  - (4) The stock appreciation rights vest in four equal annual installments beginning on January 31, 2019.

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