### Edgar Filing: PNC FINANCIAL SERVICES GROUP, INC. - Form 5

PNC FINANCIAL SERVICES GROUP, INC.

Form 5 January 13, 2017 OMB APPROVAL FORM 5 OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person <sup>\*</sup> 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Reilly Robert Q Symbol PNC FINANCIAL SERVICES (Check all applicable) GROUP, INC. [PNC] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner X\_\_Officer (give title (Month/Day/Year) Other (specify below) below) 12/08/2016 Executive Vice President THE TOWER AT PNC PLAZA, 300 FIFTH AVENUE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) PITTSBURGH, PAÂ 15222-2707 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of

| Security<br>(Instr. 3)     | (Month/Day/Year) | Execution Date, if<br>any<br>(Month/Day/Year) | Transaction<br>Code<br>(Instr. 8) | Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5)<br>(A) |           | Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year | Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                |
|----------------------------|------------------|---|-----------------------------------|--|-----------|--|--|---|----------------|
|                            |                  |   |                                   | Amount   | or<br>(D) | Price  | (Instr. 3 and 4)                                     |   |                |
| \$5 Par<br>Common<br>Stock | 12/08/2016       | Â   | G                                 | 2,700  | D         | \$ 0   | 80,103   | D   | Â              |
| \$5 Par<br>Common<br>Stock | Â                | Â   | Â                                 | Â  | Â         | Â  | 1,411 <u>(1)</u>                                     | Ι   | 401(k)<br>Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless

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#### the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9.<br>of<br>D<br>S<br>B<br>O<br>E<br>I<br>S<br>F<br>I<br>S<br>F<br>I<br>(I |
|---|---|---|---|---|---------------------|--------------------|-------|--|---|--|
|   |   |   |   | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>  | Relationships |           |                          |       |  |  |  |  |
|--|---------------|-----------|--------------------------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer                  | Other |  |  |  |  |
| Reilly Robert Q<br>THE TOWER AT PNC PLAZA<br>300 FIFTH AVENUE<br>PITTSBURGH, PA 15222-2707 | Â             | Â         | Executive Vice President | Â     |  |  |  |  |
| Signatures   |               |           |                          |       |  |  |  |  |
| Christi Davis, Attorney-in-Fact for R<br>Reilly  | obert Q.      | (         | 01/13/2017               |       |  |  |  |  |
| **Signature of Reporting Person  |               |           | Date                     |       |  |  |  |  |
| Explanation of Responses:  |               |           |                          |       |  |  |  |  |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The amount of securities beneficially owned represents the number of shares of common stock indirectly held for the account of the reporting person under The PNC Financial Services Group, Inc. ("PNC") Incentive Savings Plan (the "ISP"). Shares of PNC common stock are not directly allocated to ISP participants, but instead are held in a unitized fund, approximately 98% of which consists of PNC common stock, and the remainder of which is invested in a money market fund. The amount of securities beneficially owned reflects 8

(1) common stock, and the remainder of which is invested in a money marker fund. The amount of securities benchearly owned reflects of shares indirectly acquired for the account of the reporting person under the ISP in transactions exempt from reporting under Rule 16a-3(f)(1)(i)(B) that occurred subsequent to the date of the reporting person's most recent filing on Form 4 providing Table I information. The percentage of assets in the unitized fund investment option that are deemed to be invested in PNC common stock may vary from time to time.

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### **Remarks:**

See attached footnotes page.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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