Edgar Filing: CABOT CORP - Form 4

CADOT CODD

| Form 4 | | | | | | | | | | | | | |
|---|--|--|---|-----------|--|--|-----------|-------------|---|---|--|--|--------------|
| November | · | | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | DN OI | | | | | | |
| Check | this box | | Wa | ashing | gtor | n, D.C. 2 | 0549 |) | | Νι | umber | - | 5-0287 |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to | | | | SEC | CU | RITIES | | | F Es bu res | Expires: January Estimated average burden hours per response | | 2005 | |
| 1(b). | ntinue. truction | (a) of the l | Public I | Jtility | Ho | | mpa | ny Act o | of 1935 or Sect | | | | |
| (Print or Type | e Responses) | | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> von Gottberg Friedrich | | | 2. Issuer Name and Ticker or Trading Symbol CABOT CORP [CBT] | | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) (First) (Middle) C/O CABOT CORPORATION, TWO SEAPORT LANE, SUITE 1300 | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/21/2016 | | | | | | (Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> X Officer (give title <u></u> Other (specify below) Senior Vice President | | | | |
| BOSTON, | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | |
| (City) | (State) | (Zip) | Tal | ble I - N | lon- | -Derivativ | e Seci | urities Ac | equired, Disposed | l of, or l | Benefi | cially Own | ed |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | ction Date 2A. Deemed Day/Year) Execution Date, if any (Month/Day/Year) | | | Code (Instr. 3, 4 and 5) ar) (Instr. 8) (A) | | | | | Form: Direct | wnership Indirect orm: Beneficial irect (D) Ownership Indirect (Instr. 4) | | |
| ~ | | | | Code | v | Amount | or (D) | Price | (Instr. 3 and 4) | | | | |
| Common Stock | 11/21/2016 | | | А | | 4,072 (1) | Α | \$0 | 30,279 | D | | | |
| Common Stock | 11/22/2016 | | | F | | 1,004 | D | \$ 52.29 | 29,275 | D | | | |
| Common Stock | | | | | | | | | 15,144.31 <u>(2)</u> | Ι | | Through Trustee f the Corporat 401(k) Pl | for ion's |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Secur (Instr. | ınt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti |
|---|---|---|--|---|---------------------|--------------------|--|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | | |
|---|---------------|-----------|-----------------------|-------|--|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | | |
| von Gottberg Friedrich C/O CABOT CORPORATION TWO SEAPORT LANE, SUITE 1300 BOSTON, MA 02210 | | | Senior Vice President | | | | | | |
| Signatures | | | | | | | | | |

ignatures

By: Kristine L. Ouimet, pursuant to a power of attorney from Friedrich von 11/23/2016 Gottberg **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes performance based units earned on the basis of the Corporation's performance in fiscal year 2016 that remain subject to (1) time-based vesting.
- Reflects retirement plan contributions by the Corporation, including contributions that have occurred since the date of the reporting (2) person's last ownership report.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.