GENOMIC HEALTH INC

Form 4 June 23, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

January 31, Expires: 2005

Estimated average

OMB APPROVAL

burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue.

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

| 1. Name and Address of Reporting Person * Shak Steven | 2. Issuer Name and Ticker or Trading Symbol GENOMIC HEALTH INC [GHDX] | 5. Relationship of Reporting Person(s) to Issuer | | |
|---|--|---|--|--|
| (Last) (First) (Middle) | 3. Date of Earliest Transaction | (Check all applicable) | | |
| | (Month/Day/Year) | Director 10% Owner | | |
| 301 PENOBSCOT DRIVE | 06/21/2016 | _X_ Officer (give title Other (specify below) Chief Scientific Officer | | |
| (Street) | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | |
| | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | |
| REDWOOD CITY, CA 94063 | | Person | | |

| (City) | (State) | (Zip) Tab | le I - Non- | Derivative | e Secu | rities Acqui | red, Disposed of, | or Beneficiall | y Owned |
|--------------------------------------|---|---|-----------------|-------------------------|------------------------------|--------------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Code (Instr. 8) | omr Dispo (Instr. 3, | sed of 4 and (A) or | 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 06/21/2016 | | Code V M | Amount 4,900 | (D) | Price \$ 18.89 | 332,107 | D | |
| Common Stock | 06/21/2016 | | M | 100 | A | \$ 18.89 | 332,207 | D | |
| Common Stock (1) | 06/21/2016 | | S | 4,900 | D | \$ 25.7536 (2) (3) | 327,307 (4) | D | |
| Common Stock (1) | 06/21/2016 | | S | 100 | D | \$ 26.665 (5) | 327,207 (4) | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: GENOMIC HEALTH INC - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 18.89 | 06/21/2016 | | M | 4,900 | <u>(6)</u> | 11/30/2016 | Common Stock | 4,900 |
| Employee Stock Option (right to buy) | \$ 18.89 | 06/21/2016 | | M | 100 | <u>(6)</u> | 11/30/2016 | Common Stock | 100 |

Relationships

Reporting Owners

| Reporting Owner Name / Address | | | - Total of the particular of t | |
|--------------------------------|----------|-----------|--|-------|
| | Director | 10% Owner | Officer | Other |

Shak Steven

301 PENOBSCOT DRIVE Chief Scientific Officer REDWOOD CITY, CA 94063

Signatures

/s/Jason W. Radford, attorney-in-fact 06/23/2016

**Signature of Reporting Person Date

Reporting Owners 2

Edgar Filing: GENOMIC HEALTH INC - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These sales of common stock were effected pursuant to Rule 10b5-1 sales plan adopted by the reporting person on March 1, 2016.
- (2) Reporting person undertakes to provide upon request by the Securities and Exchange Commission, the issuer or a securityholder of the issuer detailed information regarding the price and number of shares sold within the range indicated.
- (3) Represents weighted average sale price. Actual sale prices ranged from \$25.50 to \$26.34.
- (4) Includes an aggregate of 15,764 shares of common stock issuable pursuant to previously reported restricted stock units that have not vested.
- (5) Represents actual sale price.
- The option became exercisable as to 25% of the shares on November 30, 2007, and became exercisable as to 1/48th of the shares each full month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.