Dr Pepper Snapple Group, Inc.

Form 4 May 12, 2016

## FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 3235-0287 Number:

if no longer subject to Section 16. Form 4 or

Check this box

Washington, D.C. 20549

January 31, Expires: 2005

Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form filed by More than One Reporting

Person

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Hobson Derry L. Issuer Symbol Dr Pepper Snapple Group, Inc. (Check all applicable) [DPS] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title \_ Other (specify (Month/Day/Year) below) 5301 LEGACY DRIVE 05/11/2016 **Executive Vice President** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

**PLANO, TX 75070** 

| (City)                               | (State)                                 | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |       |   |   |   |                                       |  |  |  |
|--------------------------------------|---|--|---|-------|---|---|---|---------------------------------------|--|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8)   | Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or |       |   | Beneficially Form: Owned Direct Following or India Reported (I) | Ownership<br>Form:<br>Direct (D)<br>or Indirect | Beneficial O) Ownership ct (Instr. 4) |  |  |  |
| Common<br>Stock                      | 05/11/2016                              |  | M(1)  | 6,508 | A | \$ 79.2   | 6,508   | D                                     |  |  |  |
| Common<br>Stock                      | 05/11/2016                              |  | S   | 6,508 | D | \$<br>95.5189<br>(2)  | 0   | D                                     |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code         | Transaction Derivative Code Securities |                     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|--------------|--|---------------------|--|-----------------|---|--|
|   |   |   |   | Code V       | (A) (D)                                | Date<br>Exercisable | Expiration<br>Date                                       | Title           | Amount<br>or<br>Number<br>of<br>Shares                        |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 79.2   | 05/11/2016                              |   | M <u>(1)</u> | 6,508                                  | <u>(1)</u>          | 03/02/2025   | Common<br>Stock | 6,508   |  |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hobson Derry L. 5301 LEGACY DRIVE PLANO, TX 75070

**Executive Vice President** 

## **Signatures**

Wayne R. Lewis, attorney in fact

05/12/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 2, 2016.
  - The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is \$95.50-\$95.59.

    The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the
- (2) The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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