Edgar Filing: COTY INC. - Form 4/A

| COTY INC Form 4/A | 1 | | | | | | | | | | |
|--|---|--|---|--------------------------|--------------------------|----------|---------------------------------------|---|--|---|--|
| November | 06, 2015 | | | | | | | | | | |
| FORM | Л 4 | ~~ | ~~~~~ | | | ~~~ . | | | OMB AP | PROVAL | |
| | UNITED | STATES | | | AND EX n, D.C. 20 | | NGE CC | OMMISSION | OMB Number: | 3235-0287 | |
| Check t if no los subject Section Form 4 Form 5 obligati may co <i>See</i> Inst 1(b). | nger to 16. or Filed pu ons ntinue. | F CHA Section Public U | CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES tion 16(a) of the Securities Exchange Act of 1934, blic Utility Holding Company Act of 1935 or Section the Investment Company Act of 1940 | | | | | | January 31, 2005 verage s per 0.5 | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and JAB Cosm | Address of Reporting etics B.V. | g Person <u>*</u> | Symbol | | nd Ticker or | Tradir | -0 | Relationship of H ssuer | Reporting Perso | on(s) to | |
| | | | COTY INC. [COTY] | | | | | (Check all applicable) | | | |
| (Mor | | | | /Day/Year) | Transaction | | Director Officer (give ti elow) | itleOther below) | Owner r (specify | | |
| | | | | onth/Day/Ye | Date Origina ear) | 1 | A | . Individual or Joint/Group Filing(Check applicable Line) Form filed by One Reporting Person XForm filed by More than One Reporting erson | | | |
| (City) | (State) | (Zip) | Tal | ble I - Non | -Derivative | Secur | | red, Disposed of, | or Beneficiall | v Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemo Execution any (Month/Da | ed Date, if | Code (Instr. 3, 4 and 5) | | | | Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Class A Common Stock, par value \$0.01 per share | 09/18/2015 | | | Code V | Amount 196,700 (1) | (D) A | Price \$ 28.4604 (2) | (Instr. 3 and 4) 5,639,171 | D | | |
| Class A Common Stock, par value \$0.01 per share | 09/21/2015 | | | Р | 209,900 (1) | A | \$ 28.2222 (<u>3)</u> | 2 5,849,071 | D | | |

| Class A | | | | | | |
|---|---|----------------|---|------------------------------|-----------|---|
| Common | | | | ¢ | | |
| Stock, par value 09/22/2015 \$0.01 per share | Р | 209,900 (1) | A | \$ 27.2741 (<u>4)</u> | 6,058,971 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|--------------------|---|---------|-------|--|--|--|
| 1 | Director 10% Owner | | Officer | Other | | | |
| JAB Cosmetics B.V. OUDEWEG 147 HAARLEM, P7 2031 CC | | Х | | | | | |
| Agnaten SE ROOSEVELTPLATZ 4-5 TOP 10 VIENNA, C4 A-1090 | | Х | | | | | |
| Lucresca SE ROOSEVELTPLATZ 4-5 TOP 10 VIENNA, C4 A-1090 | | Х | | | | | |
| | | Х | | | | | |

JAB Holdings B.V. OUDEWEG 147 HAARLEM, P7 2031 CC

Signatures

| /s/ Joachim Creus and /s/ Markus Hopmann as Managing Directors | | | | | |
|--|------------|--|--|--|--|
| <u>**</u> Signature of Reporting Person | Date | | | | |
| /s/ Joachim Creus and /s/ Markus Hopmann as Authorized Representatives | 11/06/2015 | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | |
| /s/ Constantin Thun-Hohenstein and /s/ Dietmar Guetter as Authorized Representatives | 11/06/2015 | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | |
| /s/ Joachim Creus and /s/ Markus Hopmann as Managing Directors | 11/06/2015 | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | |
| **Signature of Reporting Person | Date | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

information regarding the number of shares purchased at each separate price.

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Purchases made pursuant to a written plan under Rule 10b5-1 of the Securities Exchange Act of 1934, as amended (the "Exchange Act") and in accordance with Rule 10b-18 of the Exchange Act.

The shares were purchased in multiple transactions on September 18, 2015 at actual purchase prices ranging from \$28.18 to \$28.84 per share, exclusive of any fees, commissions or other expenses. The price reported reflects the weighted average purchase price for the

(2) shale, exclusive of any rees, commissions of other expenses. The price reported reflects the weighted average purchase price for the transactions. The reporting persons undertake to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares purchased at each separate price.

The shares were purchased in multiple transactions on September 21, 2015 at actual purchase prices ranging from \$27.91 to \$28.82 per share, exclusive of any fees, commissions or other expenses. The price reported reflects the weighted average purchase price for the transactions. The reporting persons undertake to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full

The shares were purchased in multiple transactions on September 22, 2015 at actual purchase prices ranging from \$27.02 to \$27.72 per share, exclusive of any fees, commissions or other expenses. The price reported reflects the weighted average purchase price for the

(4) share, exclusive of any rees, commissions of other expenses. The price reported reflects the weighted average purchase price for the transactions. The reporting persons undertake to provide upon request by the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares purchased at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.