HANMI FINANCIAL CORP
Form 3
October 09, 2015

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Â Lim Jean
(Last) (First) (Middle)

3660 WILSHIRE BLVD., PH-A
(Street)

LOS ANGELES,Â CAÂ 90010
(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)
10/09/2015

3. Issuer Name **and** Ticker or Trading Symbol
HANMI FINANCIAL CORP [HAFC]

4. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
_____ Director
_____ 10% Owner
__X__ Officer (give title below)
_____ Other (specify below)
Chief Risk Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	13,646 (1)	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of			

				Shares		(I) (Instr. 5)	
Option for Common Stock	08/07/2007(2)	08/07/2016	Option for Common Stock	1,875	$ 152.24	D	Â
Option for Common Stock	04/08/2010(3)	04/08/2019	Option for Common Stock	1,250	$ 10.8	D	Â
Option for Common Stock	12/12/2013(4)	12/12/2022	Option for Common Stock	5,500	$ 12.54	D	Â
Option for Common Stock	08/28/2014(5)	08/28/2023	Option for Common Stock	25,000	$ 16.43	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lim Jean 3660 WILSHIRE BLVD., PH-A LOS ANGELES,Â CAÂ 90010	Â	Â	Â Chief Risk Officer	Â

Signatures

/s/ Jean Lim	10/09/2015
**Signature of Reporting Person	Date

Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 3,334 common stock grants that are scheduled to vest on August 28, 2016.

(2) The option vested 20% one year from the grant date of 8/7/2006, and the remaining fully vested at the end of each four-year period through 8/7/2011.

(3) The option vested 20% one year from the grant date of 4/8/2009, and the remaining fully vested at the end of each four-year period through 4/8/2014.

(4) The option vested 25% immediately on the grant date of 12/12/2012, and an additional 25% vested at the end of each two-year period through 12/12/2014. The remaining 25% will vest on 12/12/2015.

(5) The option vested 33.3% one year from the grant date of 8/23/2013, and an additional 33.3% vested on 8/28/2015. The remaining 33.4% will vest on 8/28/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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