

NUVASIVE INC
Form 4
November 06, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Miles Patrick

(Last) (First) (Middle)
7475 LUSK BLVD.

(Street)

SAN DIEGO, CA 92121

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NUVASIVE INC [NUVA]

3. Date of Earliest Transaction
(Month/Day/Year)
11/04/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
President, Global Prod & Svcs

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (D) | Price |
| Common Stock | 11/04/2014 | | M | | 15,413 | A | \$ 18.31 |
| | | | | | | | 62,914 ⁽¹⁾ |
| Common Stock | 11/04/2014 | | S | | 15,413 | D | \$ 41.2388 |
| | | | | | | | 47,501 ⁽¹⁾ |
| | | | | | | | ⁽²⁾ |
| Common Stock | 11/04/2014 | | M | | 5,564 | A | \$ 23.24 |
| | | | | | | | 53,065 ⁽¹⁾ |
| Common Stock | 11/04/2014 | | S | | 5,564 | D | \$ 41.2388 |
| | | | | | | | 47,501 ⁽¹⁾ |
| | | | | | | | ⁽²⁾ |
| | 11/04/2014 | | M | | 8,767 | A | \$ 23.24 |
| | | | | | | | 56,268 ⁽¹⁾ |

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Common
Stock

Common Stock 11/04/2014 S 8,767 D \$
41.2388 47,501 ⁽¹⁾ D
(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (Right to Buy) | \$ 18.31 | 01/03/2006 | | M | 15,413 | ⁽³⁾ 01/03/2016 | Common Stock | 15,413 | |
| Employee Stock Option (Right to Buy) | \$ 23.24 | 01/16/2007 | | M | 5,564 | ⁽⁴⁾ 01/16/2017 | Common Stock | 5,564 | |
| Employee Stock Option (Right to Buy) | \$ 23.24 | 01/16/2007 | | M | 8,767 | ⁽⁵⁾ 01/16/2017 | Common Stock | 8,767 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--------------------------------|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| | | | President, Global Prod & Svcs | |

Miles Patrick
7475 LUSK BLVD.
SAN DIEGO, CA 92121

Signatures

/s/ Jason M. Hannon, Attorney-in-Fact for Patrick S.
Miles

11/06/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares directly held by the Reporting Person. The Reporting Person also holds conditional rights to receive or purchase (as appropriate) shares pursuant to previously disclosed Company equity awards.

Weighted average from 235 transactions with prices ranging from \$41.10 to \$41.55. Upon request by the Commission staff, the Issuer or
- (2) a security holder of the Issuer, the Reporting Person will undertake to provide full information regarding the number of shares sold at each separate price.

The option, representing a right to purchase a total of 39,061 shares, became exercisable as follows: 25% of the shares vested on January
- (3) 3, 2007; 1/48th of the remaining shares vested monthly thereafter so that all shares were fully vested as of January 3, 2010, subject to continued employment through each vesting period.

The option, representing a right to purchase a total of 5,564 shares, became exercisable as follows: 25% of the shares vested on January
- (4) 16, 2008; 1/48th of the remaining shares vested monthly thereafter so that all shares were fully vested as of January 16, 2011, subject to continued employment through each vesting period.

The option, representing a right to purchase a total of 94,436 shares, became exercisable as follows: 25% of the shares vested on January
- (5) 16, 2008; 1/48th of the remaining shares vested monthly thereafter so that all shares were fully vested as of January 16, 2011, subject to continued employment through each vesting period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.