## Edgar Filing: AMKOR TECHNOLOGY INC - Form 4

AMKOR TECH Form 4	INOLOGY II	NC								
August 14, 2014	Л								OMB AP	PROVAL
	UNITED	STATES		TIES ANI ngton, D.			GE CO	OMMISSION	OMB Number:	3235-0287
Subject to Section 16. Form 4 or Form 5 obligations may continue. Filed pursuant for the section 17(a) of the section 17(a) of the section 17(b) of the sect			DF CHANGES IN BENEFICIAL OWNERSHIP SECURITIES Section 16(a) of the Securities Exchange Act of 19 Public Utility Holding Company Act of 1935 or S of the Investment Company Act of 1940					Act of 1934, 1935 or Section	Expires:       January 31         Expires:       2005         Estimated average       burden hours per         response       0.5         n	
(Print or Type Resp	oonses)									
1. Name and Addr Tily Gil C.	ess of Reporting	Person <u>*</u>	2. Issuer Na Symbol AMKOR 7 [AMKR]			c		5. Relationship of l ssuer (Check	Reporting Pers	
(Last) 1900 S. PRICE		Middle)	3. Date of Ea (Month/Day/ 08/14/2014	Year)	action			Director X_Officer (give pelow) CAO &		
	(Street) 4. If Amendm Filed(Month/I			Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
CHANDLER,							Ī	Form filed by Mo Person	ore than One Rep	porung
(City)	(State)	(Zip)	Table I	- Non-Deri	vative Se	curitie	s Acqui	ired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Execu any	eemed ation Date, if ah/Day/Year)	3. Transactic Code (Instr. 8)	on(A) or D (D)	ispose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Amkor				Code V	Amount		Price	(Instr. 3 and 4)		
Technology, Inc. Common Stock	08/14/2014			F	730	D	\$ 9.33	70,579	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Tily Gil C. 1900 S. PRICE ROAD CHANDLER, AZ 85286-6604			CAO & General Counsel				
Signatures							
Gil C. Tily 08	8/14/2014						

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. is against public policy as expressed in the Securities Act and will be governed by the final adjudication of such issue.

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#### SIGNATURES

Pursuant to the requirements of the Securities Act of 1933, the registrant certifies that it has reasonable grounds to believe that it meets all of the requirements for filing on Form S-3 and has duly caused this Registration Statement to be signed on its behalf by the undersigned, thereunto duly authorized, in the City of Chattanooga, State of Tennessee, on August 22, 2017. UNUM GROUP

By:/s/ Richard P. McKenney Richard P. McKenney President and Chief Executive Officer

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Pursuant to the requirements of the Securities Act of 1933, this Registration Statement has been signed by the following persons in the capacities and on the dates indicated.						
Signature	Title	Date				
/s/ Richard P. McKenney Richard P. McKenney	President and Chief Executive Officer and a Director (principal executive officer)	August 22, 2017				
/s/ John F. McGarry John F. McGarry	Executive Vice President and Chief Financial Officer (principal financial officer)	August 22, 2017				
/s/ Daniel J. Waxenberg Daniel J. Waxenberg	Senior Vice President, Chief Accounting Officer (principal accounting officer)	August 22, 2017				
* Theodore H. Bunting	Director					
* E. Michael Caulfield	Director					
* Joseph J. Echevarria	Director					
* Cynthia L. Egan	Director					
* Pamela H. Godwin	Director					
* Kevin T. Kabat	Director (Chairman of the Board of Directors)					
* Timothy F. Keaney	Director					
* Gloria C. Larson	Director					
* Ronald P. O'Hanley	Director					
* Francis J. Shammo	Director					
* By: /s/ J. Paul Jullienne J. Paul Jullienne	As attorney-in-fact for the individuals noted above with an asterisk	August 22, 2017				

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#### EXHIBIT INDEX

- 1.1 Form of Underwriting Agreement for senior debt securities. \*
- 1.2 Form of Underwriting Agreement for subordinated debt securities. \*
- 1.3 Form of Underwriting Agreement for preferred stock. \*
- 1.4 Form of Underwriting Agreement for depositary shares. \*
- 1.5 Form of Underwriting Agreement for common stock. \*
- 1.6 Form of Underwriting Agreement for stock purchase contracts. \*
- 1.7 Form of Underwriting Agreement for units. \*
- 1.8 Form of Underwriting Agreement for warrants. \*
- 4.1 Amended and Restated Certificate of Incorporation of Unum Group (incorporated by reference to Exhibit 3.1 to Unum Group's Form 8-K filed on May 24, 2013).
- 4.2 Amended and Restated Bylaws of Unum Group, effective March 29, 2017 (incorporated by reference to Exhibit 3.1 to Unum Group's Form 8-K filed on March 29, 2017).
  - Indenture for Senior Debt Securities, between Unum Group and The Bank of New York Mellon Trust Company,
- 4.3 N.A. dated as of August 23, 2012 (incorporated by reference to Exhibit 4.2 to Unum Group's Form 8-K filed on August 23, 2012).
- 4.4 Form of First Supplemental Indenture for Senior Debt Securities, between Unum Group and The Bank of New York Mellon Trust Company, N.A.
- 4.5 Form of Indenture for Subordinated Debt Securities between Unum Group and The Bank of New York Mellon Trust Company, N.A.
- 4.6 Form of preferred stock designations. \*
- 4.7 Form of Deposit Agreement. \*
- 4.8 Form of Depositary Receipt. \*
- 4.9 Form of Senior Debt Security. \*
- 4.10Form of Subordinated Debt Security. \*
- 4.11 Form of Warrant Agreement. \*
- 4.12Form of Purchase Contract Agreement (including the form of the Security Certificate). \*
- 4.13Form of Pledge Agreement. \*
- 4.14Form of Unit Agreement (including form of unit certificate). \*
- 5.1 Opinion of Sullivan & Cromwell LLP regarding legality of the securities.
- 12 Statement regarding computation of ratio of earnings to fixed charges.
- 23.1 Consent of Ernst & Young LLP.
- 23.2 Consent of Sullivan & Cromwell LLP (included in Exhibit 5.1).
- 24.1 Power of Attorney.

Statement of Eligibility of Trustee on Form T-1 under the Trust Indenture Act of 1939, as amended, of The Bank

25.1 of New York Mellon Trust Company, N.A., as Trustee under the Indenture for Senior Debt Securities dated as of August 23, 2012.

Statement of Eligibility of Trustee on Form T-1 under the Trust Indenture Act of 1939, as amended, of The Bank 25.2 of New York Mellon Trust Company, N.A., as Trustee for the form of Indenture for Subordinated Debt

Securities.

\* To be filed by amendment or as an exhibit to a document to be incorporated by reference herein in connection with an offering of the offered securities.