## Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

#### SYNCHRONOSS TECHNOLOGIES INC

Form 4 April 03, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(State)

Rosenberger Karen

2. Issuer Name and Ticker or Trading

Symbol

SYNCHRONOSS

TECHNOLOGIES INC [SNCR]

3. Date of Earliest Transaction

(Month/Day/Year) 04/01/2014

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

200 CROSSING

(Last)

(City)

**BOULEVARD, SUITE 800** 

(Middle)

(Zip)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

below)

**EVP & Chief Financial Officer** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### BRIDGEWATER, NJ 08807

	(City)	(State) (	ole I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
Sec	tle of urity tr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Co	mmon eck	04/01/2014		M	187	A	\$ 27.55	14,837	D	
Con	mmon eck	04/01/2014		S	187 (1)	D	\$ 34.38	14,650	D	
Co	mmon ck	04/01/2014		A	22,536 (2)	A	\$0	37,186	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

**SEC 1474** (9-02)

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
Stock Option (Right to Buy)	\$ 35.19	04/01/2014		M	7,640		04/01/2015(3)	04/01/2021	Common Stock	7,46
Stock Option (Right to Purchase)	\$ 27.55	04/01/2014		M	1	187	12/07/2011 <u>(4)</u>	12/07/2017	Common Stock	187

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rosenberger Karen 200 CROSSING BOULEVARD SUITE 800 BRIDGEWATER, NJ 08807

**EVP & Chief Financial Officer** 

# **Signatures**

/s/ Karen

Rosenberger 04/03/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All of the sales reported on this Form were effected pursuant to an approved Rule 10b5-1 trading plan. Represents sale to cover vesting of shares of Restricted Stock.
- (2) Shares of restricted stock granted pursuant to the Company's 2006 Equity Incentive Plan.

Reporting Owners 2

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- Th option shall become exerciseable with respect to the first 25 percent of the shares subject to the option when the Reporting Person completes 12 months of continuous service after April 1, 2014. The option shall become exerciseable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.
- The option became exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person completes 12 months of continuous service after December 7, 2010. The option shall become exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.