Edgar Filing: ADVANCED ENERGY INDUSTRIES INC - Form 4

Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Number: January 3 200 Section 16. Form 4 or Form 5 obligations section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Section 1940 (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol ADVANCED ENERGY INDUSTRIES INC [AEIS] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X_Director officer (give tile below) 10% Owner 	ADVANCED Form 4 April 03, 2014	D ENERGY INDUST 4	RIES INC							
ONTITED STATES SECURITIES AND EXCHANCE COMMISSION OMB 3235-026 Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Section 16. Expires: January 3 200 Statement OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: January 3 200 Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Statement Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person [*] 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) — A. Director — Officer (give title — Other (specify below) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) — X. Form filed by One Reporting Person								PROVAL		
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: Outward 200 Estimated average burden hours per response		UNITED STA					3235-0287			
subject to Section 16. SECURITIES Form 4 or Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). (Print or Type Responses) 1. Name and Address of Reporting Person [*] ROHRS THOMAS M ROHRS THOMAS M (Last) (First) (Middle) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 1625 SHARP POINT DRIVE (Street) 4. If Amendment, Date Original (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) Street Street Stree		er					Expires:	January 31,		
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Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1(b). I. Name and Address of Reporting Person ⁺ . 2. Issuer Name and Ticker or Trading Symbol ADVANCED ENERGY INDUSTRIES INC [AEIS] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X			SECUR	SECURITIES				-		
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1. Name and Address of Reporting Person [*] ROHRS THOMAS M 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 5. Relationship of Reporting Person(s) to Issuer 1625 SHARP POINT DRIVE 04/01/2014	See Instruc		0(h) of the Investment	Company Act	t of 1940					
ROHRS THOMAS M Symbol Issuer ADVANCED ENERGY INDUSTRIES INC [AEIS] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) X_ Director Officer (give titleOther (specify below) 1625 SHARP POINT DRIVE 04/01/2014 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) X_F form filed by One Reporting Person Exert filed by One Reporting Person	(Print or Type R	esponses)								
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Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person	, <i>,</i> ,	· · · ·	(Month/Day/Year)	ansaction	Offi		tle Othe			
Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person		(Street)	4. If Amendment, Da	te Original	6. Individ	ual or Joii	nt/Group Filin	g(Check		
Frank filed by Many theory Over Department				-			Applicable Line)			
FORT COLLINS, CO 80525	FORT COLI	LINS, CO 80525			Form					
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	(City)	(State) (Zip)	Table I - Non-D	erivative Securi	ties Acquired. Dis	posed of.	or Beneficial	lv Owned		
1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially (D) or Beneficial Owned Indirect (I) Owned Following (Instr. 4) 6. Ownership 7. Nature of Form: Direct Indirect (I) Owned Following (Instr. 4) (A) or Corr (A) or Corr (Instr. 4) (Instr. 4)	Security	(Month/Day/Year) Exe any	Deemed 3. coution Date, if Transactio Code onth/Day/Year) (Instr. 8)	4. Securities Ac on(A) or Disposed (Instr. 3, 4 and 5 (A) or	equired 5. Amou l of (D) Securitie 5) Beneficia Owned Followin Reported Transacti (Instr. 3	nt of (s] ally (g (ion(s)	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership		
Common Stock $04/01/2014$ Code SVAmount (D)Price Price(Instance) PriceS $250 (1)$ D $\$$ 24.63 10,750D		04/01/2014			\$ 10.750		D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
I B	Director	10% Owner	Officer	Other			
ROHRS THOMAS M 1625 SHARP POINT DRIVE FORT COLLINS, CO 80525	Х						
Signatures							
Thomas O. McGimpsey - Attorney-in-Fact	04/03/2014						
**Signature of Reporting Person		E	Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale in accordance with 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.