ANDERSONS INC Form 4

March 10, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* McKinstray Neill C

(First)

(Middle)

Symbol

ANDERSONS INC [ANDE]

(Month/Day/Year)

03/03/2014

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

P O BOX 119

(Last)

3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify below)

below) President, Ethanol Group

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MAUMEE, OH 43537

(City) (State) (Zip) 1. Title of Security 2. Transaction Date 2A. Deemed (Instr. 3) (Month/Day/Year)

03/03/2014

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities Execution Date, if TransactionAcquired (A) or

Code Disposed of (D) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

1,475

5. Amount of Securities Ownership Beneficially Form: Direct (D) Owned

7. Nature of Indirect Beneficial Ownership or Indirect (Instr. 4)

(A)

\$0

Reported Transaction(s)

42,486.161

Following

(Instr. 4)

D

D

(Instr. 3 and 4) Code V Amount (D) Price

A

**STOCK PERFORMANCE** 

**COMMON** 

**SHARE UNIT** 03/03/2014 Α 2.950 \$0  $2.950^{(1)}$ D

(2017)

**COMMON** Held by 2,377.62 I STOCK Spouse

Α

**PERFORMANCE SHARE UNIT** 

(2015)

 $3,705^{(1)}$ 

2,475 (2) D **PERFORMANCE SHARE UNIT** (2016)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and		7. Title and Amount of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date		Underlying Securities	
Security	or Exercise		any	Code	of	(Month/Day/Y	ear)	(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative				1
	Derivative				Securities				
	Security				Acquired				
					(A) or				
					Disposed				
					of (D)				
					(Instr. 3,				
					4, and 5)				
									Amount
									or
						Date	Expiration	Title	Number
						Exercisable	Date		of
				Code V	(A) (D)				Shares
								~~~	
SOSAR	\$ 7.35					03/02/2010	03/31/2014	COMMON	4,800
DOD? IIC	Ψ 7.33					03/02/2010	03/31/2014	STOCK	1,000
								COMMON	
SOSAR	\$ 21.83					03/01/2011	04/01/2015	COMMON	3,338
SSSIM	Ψ 21.03					02,01/2011	0.70172015	STOCK	2,230

# **Reporting Owners**

Reporting Owner Name / Address			Keiationsnips	
	Director	10% Owner	Officer	Other

McKinstray Neill C P O BOX 119 MAUMEE, OH 43537

President, Ethanol Group

## **Signatures**

Neill McKinstray, by Mary J. Schroeder, Limited Power of Attorney 03/10/2014

> \*\*Signature of Reporting Person Date

2 Reporting Owners

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock performance unit granted pursuant to The Andersons, Inc. Plan. Units vest 100% in 3 years contingent on cumulative EPS. Number of underlying shares are determined by the three-year cumulative fully diluted EPS for the performance period.
- Stock performance unit granted pursuant to The Andersons, Inc. plan. Units vest 100% in 27 months contingent on cumulative EPS from (2) 10/01/2013 to 12/31/2015. Number of underlying shares are determined by the twenty-seven months cumulative fully diluted EPS for the performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.