

AUTOLIV INC
Form 4
February 07, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Mogefors Svante

(Last) (First) (Middle)

C/O AUTOLIV,
INC., VASAGATAN 11, 7TH
FLOOR

(Street)

STOCKHOLM, V7 SE-111 20

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AUTOLIV INC [ALV]

3. Date of Earliest Transaction
(Month/Day/Year)
02/06/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Vice Pres. Quality & Manufact.

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 02/06/2014 | | M | 4,000 A \$ 49.6 | 9,500 | D | |
| Common Stock | 02/06/2014 | | S | 4,000 D 92.38 | 5,500 | D | |
| Common Stock | 02/06/2014 | | M | 4,500 A 59.01 | 10,000 | D | |
| Common Stock | 02/06/2014 | | S | 4,500 D 92.64 | 5,500 | D | |

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| | | | | | | | |
|--------------|------------|---|-------|---|----------------------------|--------|---|
| Common Stock | 02/06/2014 | M | 6,000 | A | \$ 51.67 | 11,500 | D |
| Common Stock | 02/06/2014 | S | 6,000 | D | \$ 92.65 <u>(1) (4)</u> | 5,500 | D |
| Common Stock | 02/06/2014 | M | 6,900 | A | \$ 44.7 | 12,400 | D |
| Common Stock | 02/06/2014 | S | 6,900 | D | \$ 92.62 <u>(1) (5)</u> | 5,500 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option | \$ 49.6 | 02/06/2014 | | M | 4,000 | 01/09/2007 01/09/2016 | Common Stock | 4,000 |
| Stock Option | \$ 59.01 | 02/06/2014 | | M | 4,500 | 02/14/2008 02/14/2017 | Common Stock | 4,500 |
| Stock Option | \$ 51.67 | 02/06/2014 | | M | 6,000 | 02/19/2009 02/19/2018 | Common Stock | 6,000 |
| Stock Option | \$ 44.7 | 02/06/2014 | | M | 6,900 | 02/18/2011 02/18/2020 | Common Stock | 6,900 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Mogefors Svante
C/O AUTOLIV, INC.
VASAGATAN 11, 7TH FLOOR
STOCKHOLM, V7 SE-111 20

Vice Pres. Quality & Manufact.

Signatures

/s/ Svante
Mogefors

02/07/2014

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reflects the weighted average sale price. The reporting person effected multiple same-way open market sale transactions on the same day at different prices through a trade order executed by a broker dealer. The reporting person reported on a single line all such transactions

- (1) that occurred within a one dollar price range. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer, or a shareholder of the issuer, full information regarding the number of shares sold at each separate price.
- (2) The range of prices for such transaction is \$92.33 to \$92.42.
- (3) The range of prices for such transaction is \$92.34 to \$92.74.
- (4) The range of prices for such transaction is \$92.59 to \$92.65.
- (5) The range of prices for such transaction is \$92.65 to \$92.66.
- (6) The Stock Options were granted under the Company's Stock Incentive Plan of 1997, as amended, at no cost to the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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