

MAXIM INTEGRATED PRODUCTS INC

Form 4

September 05, 2013

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Caron David

2. Issuer Name and Ticker or Trading Symbol  
MAXIM INTEGRATED PRODUCTS INC [MXIM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
160 RIO ROBLES  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
09/03/2013

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
VP and Principal Acct Officer

SAN JOSE, CA 95134

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
			Code	V	Amount or Price				
Common Stock	09/03/2013		A		5,440 (1)	A	\$ 0	16,633 (2)	D
Common Stock	09/03/2013		S		3,217	D	\$ 28.3244 (3)	13,416 (2)	D
Common Stock	09/03/2013		M		3,240	A	\$ 18.11	16,656 (2)	D
Common Stock	09/03/2013		S		3,240	D	\$ 28.3244 (3)	13,416 (2)	D
	09/03/2013		M		2,565	A	\$ 16.58	15,981 (2)	D

Common  
Stock

Common Stock	09/03/2013		S	2,565	D	\$ 28.3244	13,416 <sup>(2)</sup>	D
						<u>(3)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 28.16	09/03/2013		A	22,500	<sup>(4)</sup> 09/03/2020		Common Stock
Non-Qualified Stock Option (right to buy)	\$ 18.11	09/03/2013		M	3,240	06/30/2013 <sup>(5)</sup> 12/01/2016		Common Stock
Non-Qualified Stock Option (right to buy)	\$ 16.58	09/03/2013		M	2,565	08/15/2013 <sup>(5)</sup> 09/07/2017		Common Stock

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director    10% Owner    Officer    Other

Caron David  
160 RIO ROBLES  
SAN JOSE, CA 95134

VP and Principal Acct Officer

## Signatures

BY MARK CASPER FOR DAVID  
CARON

09/05/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents Restricted Stock Units that vest over four quarters with the first vesting on February 15, 2015, subject to the individual's continuous status as an employee through such dates.
- (2) Represents unvested Restricted Stock Units and Common Stock.
- (3) Weighted average with prices ranging from \$28.32 to \$28.335.
- (4) Non-Qualified Stock Options that vest over four quarters with the first vesting on February 15, 2017, subject to the individual's continuous status as an employee through such dates.
- (5) Date when shares became fully exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.