

HOME BANCSHARES INC

Form 4

August 14, 2013

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
ALLISON JOHN W

2. Issuer Name **and** Ticker or Trading
Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
08/12/2013

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)
Chairman

P.O. BOX 966

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

CONWAY, AR 72033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/12/2013		P	V Amount (A) or (D) 360 A	\$ 27.2674	3,742,382 ⁽¹⁴⁾ <u>(15)</u>	D
Common Stock	04/22/2013		G	V <u>7,324</u> ⁽¹⁵⁾	D \$ 0	3,735,058	D
Common Stock	07/01/2013		G	V 500 D	\$ 0	3,734,558	D
Common Stock						427,680 ⁽¹⁵⁾	I By wife
Common Stock						382,506.9114 ⁽¹⁵⁾ ⁽¹⁶⁾	I By son

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Common Stock	33,664 ⁽¹⁵⁾	I	By Capital Buyers
Common Stock - Restricted Stock	4,428 ⁽¹²⁾ ⁽¹³⁾ ⁽¹⁴⁾ ⁽¹⁵⁾	D	
Common Stock	8,136 ⁽¹⁵⁾	I	By IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 17.25 <u>(11)</u>							01/18/2014	01/17/2023	Common Stock	50,000 <u>(15)</u>
Stock Option	\$ 3.08 <u>(11)</u>							<u>(2)</u>	<u>(2)</u>	Common Stock	5,702 <u>(15)</u>
Stock Option	\$ 3.92 <u>(11)</u>							<u>(4)</u>	<u>(4)</u>	Common Stock	2,851 <u>(15)</u>
Stock Option	\$ 3.5 <u>(11)</u>							<u>(3)</u>	<u>(3)</u>	Common Stock	2,138 <u>(15)</u>
Performance Stock Option	\$ 5.54 <u>(11)</u>							01/01/2010	03/13/2016	Common Stock	88,957 <u>(15)</u>
Stock Option	\$ 4.21 <u>(11)</u>							<u>(5)</u>	<u>(5)</u>	Common Stock	3,564 <u>(15)</u>
Stock Option	\$ 4.92 <u>(11)</u>							<u>(6)</u>	<u>(6)</u>	Common Stock	3,564 <u>(15)</u>

Stock Option	\$ 5.33 ⁽¹¹⁾	<u>(7)</u>	<u>(7)</u>	Common Stock	3,564 ⁽¹⁵⁾
Stock Option	\$ 5.33 ⁽¹¹⁾	07/27/2005	07/27/2015	Common Stock	178,200 ⁽¹⁵⁾
Stock Option	\$ 4.78 ⁽¹¹⁾	<u>(8)</u>	<u>(8)</u>	Common Stock	20,156 ⁽¹⁵⁾
Stock Option	\$ 10.16 ⁽¹¹⁾	<u>(9)</u>	01/18/2017	Common Stock	47,520 ⁽¹⁵⁾
Stock Option	\$ 8.54 ⁽¹¹⁾	<u>(1)</u>	01/17/2018	Common Stock	35,640 ⁽¹⁵⁾
Stock Option	\$ 8.6 ⁽¹¹⁾	<u>(10)</u>	01/09/2018	Common Stock	4,752 ⁽¹⁵⁾

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ALLISON JOHN W P.O. BOX 966 CONWAY, AR 72033	X		Chairman	

Signatures

/s/John W. Allison by Rachel Wesson 08/14/2013

 **Signature of Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became exercisable in five equal annual installments beginning on January 18, 2009.
 - (2) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2000 and would have expired on December 31, 2010.
 - (3) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2001 and would have expired on December 31, 2011.
 - (4) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2002 and would have expired on December 31, 2012.
 - (5) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2003 and expires on December 31, 2013.
 - (6) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2004 and expires on December 31, 2014.
 - (7) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2005 and expires on December 31, 2015.
 - (8) 2,880 options (split adjusted) have an expiration date of December 31, 2013 and 3,456 options (split adjusted) have an expiration date of December 31, 2014. The remaining 13,820 options (split adjusted) vested on January 1, 2005 with an expiration date of January 1, 2015.

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- (9) The option became exercisable in two equal annual installments beginning on January 19, 2008.
- (10) The option became exercisable in five equal annual installments beginning on January 10, 2009.
- (11) The exercise price decreased as a result of the Company declaring a 2-for-1 stock split to shareholders of record as of May 22, 2013 and made payable June 12, 2013.
- (12) Restricted Stock granted on February 2, 2011 will vest in 33 1/3% installments over three years each February 2nd.
- (13) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.
- (14) The reporting person had 2,430 (split adjusted) shares vest since the last filing.
- (15) The reporting person received shares as a result of the Company declaring a 2-for-1 stock split to shareholders of record as of May 22, 2013 and made payable June 12, 2013.
- (16) Includes 1,333.8419 shares (split adjusted) acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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