## Edgar Filing: SMITH MICRO SOFTWARE INC - Form 4

SMITH MIC Form 4 June 14, 2013		ARE INC									
FORM	1								•···- · ·	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
Check thi if no long	or								Expires:	January 31,	
subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL O' SECURITIES						LOW	NERSHIP OF	Estimated a burden hou			
Form 4 or Form 5					a		1		response	0.5	
obligation may conti <i>See</i> Instru 1(b).	nue. Section	17(a) of the	Public Ut		ling Com	ipany	Acto	ge Act of 1934, f 1935 or Sectio 40	n		
(Print or Type R	esponses)										
1. Name and A Lippincott C	2. Issuer Name <b>and</b> Ticker or Trading Symbol				-	5. Relationship of Reporting Person(s) to Issuer					
			SMITH MICRO SOFTWARE INC [SMSI]					(Check all applicable)			
(1				<ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>06/14/2013</li></ul>				Director 10% Owner X Officer (give title Other (specify below) below) SVP - Global Operations			
								-			
Filed(Mor				ff Amendment, Date Original ed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
ALISO VIE.	IO, CA 92656	)						Person		1 0	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acc	quired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Ye	ear) Executio any		3. Transactio Code (Instr. 8)		spose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	06/14/2013			Code V D	Amount 2,482 (1)	(D) D	Price \$ 1.37	352,277	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact: Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting Owner Name / Address			Relationships				
	Director	10% Owner	Officer	Other			
Lippincott Christopher G 51 COLUMBIA ALISO VIEJO, CA 92656			SVP - Global Operations				
Signatures							
Diane Gulling, Attorney in Fac Lippincott	06/14/2013						
<u>**</u> Signature of Repo	Date						

## **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares withheld, at an average vesting price of \$1.37 per share, for payment of income taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.