

HANMI FINANCIAL CORP

Form 3

December 21, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Yoon Shick

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

12/21/2012

3. Issuer Name and Ticker or Trading Symbol
HANMI FINANCIAL CORP [HAFB]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer ____ Other
 (give title below) (specify below)
 Interim CFO

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
 ____ Form filed by More than One Reporting Person

3660 WILSHIRE BLVD. SUITE PH-A

(Street)

LOS ANGELES, CA 90010

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

5,811

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Expiration Date Title

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	Date Exercisable		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Option of Common Stock	12/12/2012	12/12/2022 ⁽¹⁾	Option of Common Stock	5,500	\$ 12.54	D Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Yoon Shick 3660 WILSHIRE BLVD. SUITE PH-A LOS ANGELES, CA 90010	Â	Â	Â Interim CFO	Â

Signatures

/S/ Shick Yoon 12/21/2012

____Signature of Date
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Stock option shall be vested and exercisable over a period of 4 years beginning December 12, 2012, with 25% of the shares vesting on
(1) each anniversary of the Vesting Commencement Date until fully vested. This Stock option shall expire and shall no longer be exercisable
ten years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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