Edgar Filing: HARTFORD FINANCIAL SERVICES GROUP INC/DE - Form 4

HARTFORD FINANCIAL SERVICES GROUP INC/DE

Form 4

Common

Common

Stock

Stock

07/30/2007

07/30/2007

August 01, 2007

Check thi if no long subject to Section 16 Form 4 or Form 5 obligation may continus See Instruction. (Print or Type R	s box er STATEM 6. Filed pur sinue. Section 17(TENT O	Wa F CHAN Section 1 Public U	shington, NGES IN I SECUR 6(a) of the	D.C. 205 BENEFI ITIES e Securiti ling Com	CIAL es Exc pany A	OWN hange Act of 1	OMMISSION ERSHIP OF Act of 1934, 1935 or Section	OMB Number: Expires: Estimated a burden hour response	
1. Name and AdZWIENER I	_,,					5. Relationship of Reporting Person(s) to (ssuer (Check all applicable)				
(Last) THE HART: SERVICES HARTFORI						Director 10% Owner _X Officer (give title Other (specify elow) Executive Vice President				
HARTFORI	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year) RTFORD, CT 06155				<i>F</i>	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tab	le I - Non-D	erivative S	Securitie	es Acqui	ired, Disposed of,	or Beneficiall	v Owned
Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	ned	3. Transactio Code (Instr. 8)	4. Securiti nor Dispose (Instr. 3, 4	es Acqu ed of (D)	ired (A)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Restricted Stock Units								39,614.192	D	
Restricted Stock								10,133	D	

 $\mathbf{M}^{(1)}$

 $\mathbf{M}^{(1)}$

50,000 A

25,000 A

\$ 65.85

\$ 62.07

156,030

181,030

D

D

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Common 91.9295 106,030 $S^{(1)}$ 07/30/2007 75,000 D D Stock (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5)		rivative curities quired (A) Disposed of str. 3, 4,	6. Date Exer Expiration D (Month/Day,	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	/ (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 62.07	07/30/2007		M(1)		25,000	(3)	02/23/2011	Common Stock	25,000
Stock Option	\$ 65.85	07/30/2007		M <u>(1)</u>		50,000	<u>(4)</u>	02/23/2012	Common Stock	97,817
Stock Option	\$ 65.99						(5)	02/20/2014	Common stock	63,723
Stock Option	\$ 71.27						<u>(6)</u>	02/19/2015	Common Stock	43,339
Stock Option	\$ 83						<u>(7)</u>	02/15/2016	Common Stock	37,789
Stock Option	\$ 93.69						(8)	02/27/2017	Common Stock	33,722

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

ZWIENER DAVID K THE HARTFORD FINANCIAL SERVICES GROUP -ONE HARTFORD PLAZA HARTFORD, CT 06155

Executive Vice President

Reporting Owners 2

Signatures

/s/ Amanda Grabowski Aquino, POA for David K. Zwiener by Power of Attorney of David K. Zwiener dated July 26, 2007.

08/01/2007

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction effected pursuant to a pre-planned trading plan entered into in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934.
- (2) The sale price per share reported above reflects a weighted-average of a series of block trades, all of which were executed on July 30, 2007, as reported in further detail on Exhibit 99.1 hereto.
- (3) The option became fully exercisable as of July 27, 2005, following the achievement of the following criteria: the closing price of the Issuer's Common Stock on the New York Stock Exchange reached 125% of the grant price for at least 10 consecutive trading days.
- (4) The option became fully exercisable on November 17, 2005, following the achievement of the following criteria: the closing price of the Issuer's Common Stock on the New York Stock Exchange reached 125% of the grant price for at least 10 consecutive trading days.
- (5) The option became fully exercisable as of February 18, 2007, the third anniversary of the grant date.
 - The option becomes fully exercisable upon the later of: (i) the closing price of the Issuer's Common Stock on the New York Stock
- (6) Exchange reaches 125% of the grant price for at least 10 consecutive trading days and (ii) February 17, 2008, three years from the grant date. The closing price condition to vesting was met on May 16, 2006.
- The option becomes fully exercisable upon the later of: (i) the date on which the Issuer's closing stock price on the New York Stock
- (7) Exchange equals or exceeds 125% of the exercise price for 10 consecutive trading days or (ii) February 15, 2009, three years from the date of the grant. The closing price condition to vesting was met on May 18, 2007.
- The option becomes fully exercisable upon the later of: (i) the date on which the closing stock price on the New York Stock Exchange equals or exceeds 125% of the exercise price for 10 consecutive trading days or (ii) February 27, 2010, three years from the date of the grant

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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