### Edgar Filing: UNIVEST CORP OF PENNSYLVANIA - Form 5

#### UNIVEST CORP OF PENNSYLVANIA

Form 5

January 20, 2017

FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer AICHELE WILLIAM S Symbol UNIVEST CORP OF (Check all applicable) PENNSYLVANIA [UVSP] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director 10% Owner Officer (give title (Month/Day/Year) Other (specify below) below) 12/31/2016 1401 RICKERT ROAD (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) PERKASIE, Â PAÂ 18944 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Transaction Indirect Security (Month/Day/Year) Execution Date, if (A) or Disposed of Securities Ownership (Instr. 3) Code (D) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end of Direct (D) Ownership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

12/02/2016

Common

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Price \$

28.8

(A)

D

Amount (D)

2,000

Issuer's Fiscal

(Instr. 3 and 4)

144,005.103

Year

(1)(2)

or Indirect

(Instr. 4)

(I)

D

**SEC 2270** (9-02)

(Instr. 4)

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**OMB APPROVAL** 

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non Qualified Stock Options (Right to Buy)	\$ 17.235	Â	Â	Â	Â	Â	01/31/2013	01/31/2021	Common	873
Incentive Stock Options (Right to Buy)	\$ 17.235	Â	Â	Â	Â	Â	01/31/2013	01/31/2021	Common	8,127
Non Qualified Stock Options (Right to Buy)	\$ 16.88	Â	Â	Â	Â	Â	01/31/2015	01/31/2023	Common	2,770
Incentive Stock Options (Right to Buy)	\$ 14.8	Â	Â	Â	Â	Â	01/31/2014	01/31/2022	Common	9,000
Incentive Stock Options (Right to Buy)	\$ 16.88	Â	Â	Â	Â	Â	01/31/2015	01/31/2023	Common	6,230

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
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AICHELE WILLIAM S 1401 RICKERT ROAD PERKASIE, PAÂ 18944

## **Signatures**

Megan D. 01/20/2017 Santana

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DOES INCLUDE 6,325 RESTRICTED SHARES SUBJECT TO VESTING.
- (2) DOES INCLUDE 207.1030 SHARES ACQUIRED ON OR AFTER AUGUST 15, 1996 THROUGH THE DIVIDEND REINVESTMENT PLAN.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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