

NETFLIX INC
Form 4
September 03, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BATTLE A GEORGE

2. Issuer Name and Ticker or Trading Symbol
NETFLIX INC [NFLX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
100 WINCHESTER CIRCLE

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/01/2015

Director 10% Owner
 Officer (give title below) Other (specify below)

LOS GATOS, CA 95032

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	09/01/2015		M		1,211 (1) A \$ 4.9071	1,211 (2)	D
Common Stock	09/01/2015		M		3,794 (1) A \$ 5.2786	5,005	D
Common Stock	09/01/2015		M		4,683 (1) A \$ 4.2671	9,688	D
Common Stock	09/01/2015		M		6,356 (1) A \$ 3.1486	16,044	D
Common Stock	09/01/2015		M		5,992 (1) A \$ 3.3371	22,036	D
	09/01/2015		M		A \$ 4.2914	26,698	D

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Common Stock			4,662 <u>(1)</u>					
Common Stock	09/01/2015	M	4,536 <u>(1)</u>	A	\$ 4.4057	31,234		D
Common Stock	09/01/2015	M	4,788 <u>(1)</u>	A	\$ 4.1743	36,022		D
Common Stock	09/01/2015	M	5,166 <u>(1)</u>	A	\$ 3.8714	41,188		D
Common Stock	09/01/2015	M	4,529 <u>(1)</u>	A	\$ 4.4129	45,717		D
Common Stock	09/01/2015	M	3,283 <u>(1)</u>	A	\$ 4.4286	49,000		D
Common Stock	09/01/2015	S	10,835 <u>(1)</u>	D	\$ 105.043 <u>(3)</u>	38,165		D
Common Stock	09/01/2015	S	1,200 <u>(1)</u>	D	\$ 106.3675 <u>(4)</u>	36,965		D
Common Stock	09/01/2015	S	5,994 <u>(1)</u>	D	\$ 107.5387 <u>(5)</u>	30,971		D
Common Stock	09/01/2015	S	13,095 <u>(1)</u>	D	\$ 108.2085 <u>(6)</u>	17,876		D
Common Stock	09/01/2015	S	13,550 <u>(1)</u>	D	\$ 109.5863 <u>(7)</u>	4,326		D
Common Stock	09/01/2015	S	4,226 <u>(1)</u>	D	\$ 110.2579 <u>(8)</u>	100		D
Common Stock	09/01/2015	S	100 <u>(1)</u>	D	\$ 111.03 <u>(9)</u>	0		D
Common Stock						56,000		I
								by Trust <u>(10)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 3.1486	09/01/2015		M		6,356 <u>(1)</u>	12/01/2008	12/01/2018	Common Stock	6,356
Non-Qualified Stock Option (right to buy)	\$ 3.3371	09/01/2015		M		5,992 <u>(1)</u>	11/03/2008	11/03/2018	Common Stock	5,992
Non-Qualified Stock Option (right to buy)	\$ 3.8714	09/01/2015		M		5,166 <u>(1)</u>	07/01/2008	07/01/2018	Common Stock	5,166
Non-Qualified Stock Option (right to buy)	\$ 4.1743	09/01/2015		M		4,788 <u>(1)</u>	08/01/2008	08/01/2018	Common Stock	4,788
Non-Qualified Stock Option (right to buy)	\$ 4.2671	09/01/2015		M		4,683 <u>(1)</u>	01/02/2009	01/02/2019	Common Stock	4,683
Non-Qualified Stock Option (right to buy)	\$ 4.2914	09/01/2015		M		4,662 <u>(1)</u>	10/01/2008	10/01/2018	Common Stock	4,662
Non-Qualified Stock Option (right to buy)	\$ 4.4057	09/01/2015		M		4,536 <u>(1)</u>	09/02/2008	09/02/2018	Common Stock	4,536
Non-Qualified Stock Option (right to buy)	\$ 4.4129	09/01/2015		M		4,529 <u>(1)</u>	06/02/2008	06/02/2018	Common Stock	4,529
Non-Qualified Stock Option (right to buy)	\$ 4.4286	09/01/2015		M		3,283 <u>(1)</u>	05/01/2008	05/01/2018	Common Stock	3,283
Non-Qualified Stock Option (right to buy)	\$ 4.9071	09/01/2015		M		1,211 <u>(1)</u>	03/02/2009	03/02/2019	Common Stock	1,211
Non-Qualified Stock Option (right to buy)	\$ 5.2786	09/01/2015		M		3,794 <u>(1)</u>	02/02/2009	02/02/2019	Common Stock	3,794
	\$ 105.79	09/01/2015		A		472	09/01/2015	09/01/2025		472

Non-Qualified
Stock Option
(right to buy)

Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BATTLE A GEORGE 100 WINCHESTER CIRCLE LOS GATOS, CA 95032		X		

Signatures

By: Carole Payne For: A. George
Battle 09/03/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction made pursuant to duly adopted trading plan under Rule 10b5-1(c).
- (2) A seven-for-one stock split became effective July 15, 2015. Amounts are shown post-split.
- (3) This transaction was executed in multiple trades at prices ranging from \$104.8200 to \$105.7800.
- (4) This transaction was executed in multiple trades at prices ranging from \$105.8678 to \$106.7010.
- (5) This transaction was executed in multiple trades at prices ranging from \$106.8800 to \$107.8787.
- (6) This transaction was executed in multiple trades at prices ranging from \$107.8800 to \$108.8200.
- (7) This transaction was executed in multiple trades at prices ranging from \$108.9500 to \$109.9400.
- (8) This transaction was executed in multiple trades at prices ranging from \$109.9500 to \$110.8300.
- (9) This transaction was executed in multiple trades at prices ranging from \$111.0300 to \$111.0300.
- (10) As a Trustee of the A. George Battle 2011 Separate Property Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.