

ZANK DENNIS W
Form 5
October 07, 2002

UNITED STATES SECURITIES AND
EXCHANGE COMMISSION Washington, D.C.
20549

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FORM 5

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b). Form 3 Holdings
Reported Form 4 Transactions
Reported

**STATEMENT OF CHANGES IN
BENEFICIAL OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section
17(a) of the Public Utility Holding Company Act of 1934 or Section 30(f) of the
Investment Company Act of 1940

(Print or Type
Responses)

1. Name and Address of Reporting Person*

2. Issuer Name and Ticker or Trading Symbol

6. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

Zank **Dennis** **W**
(Last) (First) (Middle)

Raymond James Financial (RJF)
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year
Sept. 2002

Director
 Officer (give title below)
 Owner
 Other (specify below)

**880 Carillon
Parkway**
(Street)

St. Petersburg **FL** **33716**
(City) (State) (ZIP)

5. If Amendment Date of Original (Month/Year)

7. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I – Non Derivative Securities Acquired, Disposed of, or beneficially Owned

1. Title of Security (Instr. 3)

2. Trans-
action
Date
(Month/
Day/
Year)

3. Trans-
action
Code
(Instr. 8)

4. Securities Acquired (A)
or Disposed of (D)
(Instr. 3, 4 and 5)
(A) or

5. Amount of
Securities
Beneficially
Owned at
End of Month
(Instr. 3 and
4)

6. Owner-
ship
Form:
Direct
(D) or
Indirect
(I)

7. Nature of
Indirect
Beneficial
Owner-
ship

Raymond James
Financial, Inc.
(RJF)
**RJF Common
Stock**
**RJF Common
Stock**

Amount (D) Price

(Instr. 4) (Instr. 4)

51,838 D

17,336 I ESOP

NO UNREPORTED ACTIVITY

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* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(Over)
SEC 2270
(9-96)

FORM 5 Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned at End of Month (Instr. 4)	10. Ownership Form of Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Beneficial Ownership (Instr. 4)
Employee Stock Option (Right to buy)		Current		(A)	1/18/2003	Common Stock	6,300	22.1667		
Employee Stock Option (Right to buy)		11/18/2002		(A)	1/18/2003	Common Stock	2,700	22.1667		
Employee Stock Option (Right to buy)		11/18/02-11/18/04		(A)	1/18/2005	Common Stock	6,000	20.6300		
Employee Stock Option (Right to buy)		11/28/04-1/02/07		(A)	1/28/2007	Common Stock	10,000	32.0000	25,000	D

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Explanation of Responses:

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations,
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/S/ DENNIS W. ZANK
**Signature of Reporting Person

October 7, 2002
Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient.
see Instructions 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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