## Edgar Filing: AUTONATION, INC. - Form 4

AUTONAT Form 4	ION, INC.										
December 1	0, 2013										
FORM			CECU							PPROVAL	
	···· UNITED	STATES					NGE CO	OMMISSION	OMB Number:	3235-0287	
Washington, D.C. 20549Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations 						Expires: January 31 2009 Estimated average burden hours per response 0.5					
1(b). (Print or Type	Responses)										
	Address of Reporting EDWARD S	Person <u>*</u>	Symbol		d Ticker or '		0	5. Relationship of Issuer			
(Last)	(First) (	Middle)	3. Date of	of Earliest 7	Fransaction			(Check	k all applicable	e)	
1170 KAN 200	E CONCOURSE.	, SUITE	(Month/) 12/06/2	Day/Year) 2013				Director Officer (give t below)	title $\underline{X}_{100}$ Oth below)		
	(Street)		4. If Am	endment, D	Date Original			6. Individual or Jo	int/Group Fili	ng(Check	
BAY HAR	BOR, FL 33154		Filed(Mo	onth/Day/Ye	ar)				ble Line) n filed by One Reporting Person m filed by More than One Reporting		
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	ed Date, if	3.		es Acq d of (I and 5) (A)	uired (A) D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock, par value \$0.01 per share	12/06/2013			S	4,455	D	\$ 50.61 (1)	14,826,771	$\frac{\mathbf{D}}{(5)} \xrightarrow{(2)} \xrightarrow{(3)} \xrightarrow{(4)}$		
Common Stock, par value \$0.01 per share	12/06/2013			S	3,149	D	\$ 50.61 (1)	2,063,008	I	See Footnotes (2) (3) (4) (5) $(6)$	
Common Stock, par	12/06/2013			S	2,448	D	\$ 50.61	1,604,135	Ι	See Footnotes	

	5	0						
value \$0.01 per share					<u>(1)</u>			$\frac{(2)}{(7)}\frac{(3)}{(4)}\frac{(4)}{(5)}$
Common Stock, par value \$0.01 per share	12/06/2013	S	9	D	\$ 50.61 (1)	5,615	I	See Footnotes $\frac{(2) (3) (4) (5)}{(8)}$
Common Stock, par value \$0.01 per share	12/06/2013	S	37,907	D	\$ 50.61 (1)	12,930,339	I	See Footnotes (2) (3) (4) (5) $(9)$
Common Stock, par value \$0.01 per share	12/09/2013	S	14,462	D	\$ 50.73 (10)	14,812,309	$\begin{array}{c} D \underbrace{(2)}_{(5)} \underbrace{(3)}_{(4)} \end{array}$	
Common Stock, par value \$0.01 per share	12/09/2013	S	10,222	D	\$ 50.73 (10)	2,052,786	I	See Footnotes (2) (3) (4) (5) $(6)$
Common Stock, par value \$0.01 per share	12/09/2013	S	7,948	D	\$ 50.73 (10)	1,596,187	I	See Footnotes $\frac{(2)}{(7)} \frac{(3)}{(4)} \frac{(4)}{(5)}$
Common Stock, par value \$0.01 per share	12/09/2013	S	28	D	\$ 50.73 (10)	5,587	I	See Footnotes $\frac{(2)}{(3)} \frac{(3)}{(4)} \frac{(5)}{(5)}$
Common Stock, par value \$0.01 per share	12/09/2013	S	123,065	D	\$ 50.73 (10)	12,807,274	I	See Footnotes (2) (3) (4) (5) $(9)$
Common Stock, par value \$0.01 per share	12/10/2013	S	23,217	D	\$ 50.56 (11)	14,789,092	$\underbrace{\begin{array}{c} D \\ \underline{(5)} \end{array}}^{(2)} \underbrace{(3)}_{(4)} \underbrace{(4)}_{(5)} \\ \end{array}$	
Common Stock, par value	12/10/2013	S	16,410	D	\$ 50.56 (11)	2,036,376	Ι	See Footnotes (2) (3) (4) (5)

\$0.01 per share								(6)
Common Stock, par value \$0.01 per share	12/10/2013	S	12,760	D	\$ 50.56 (11)	1,583,427	I	See Footnotes (2) $(3)$ $(4)$ $(5)(7)$
Common Stock, par value \$0.01 per share	12/10/2013	S	45	D	\$ 50.56 (11)	5,542	I	See Footnotes (2) (3) (4) (5) $(8)$
Common Stock, par value \$0.01 per share	12/10/2013	S	197,568	D	\$ 50.56 (11)	12,609,706	I	See Footnotes (2) (3) (4) (5) $(9)$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

**Reporting Owner Name / Address** 

#### Relationships

Director 10% Owner Officer Other

Х

LAMPERT EDWARD S 1170 KANE CONCOURSE, SUIT BAY HARBOR, FL 33154	ГЕ 200		
ESL PARTNERS, L.P. 1170 KANE CONCOURSE, SUIT BAY HARBOR, FL 33154	ГЕ 200	Х	
SPE II Partners, L.P. 1170 KANE CONCOURSE, SUIT BAY HARBOR, FL 33154	ГЕ 200	х	
SPE Master II, L.P. 1170 KANE CONCOURSE, SUIT BAY HARBOR, FL 33154	ГЕ 200	Х	
RBS PARTNERS, L.P. 1170 KANE CONCOURSE, SUIT BAY HARBOR, FL 33154	ГЕ 200	Х	
ESL INSTITUTIONAL PARTNE 1170 KANE CONCOURSE, SUIT BAY HARBOR, FL 33154		Х	
RBS INVESTMENT MANAGEM 1170 KANE CONCOURSE, SUIT BAY HARBOR, FL 33154		Х	
ESL INVESTMENTS INC 1170 KANE CONCOURSE, SUIT BAY HARBOR, FL 33154	ГЕ 200	Х	
Signatures			
EDWARD S. LAMPERT, By: /s/	'Edward S. Lampert		12/10/2013
	<u>**</u> Signature of Reporting Person		Date
ESL PARTNERS, L.P., By: RBS Inc., Its: General Partner, By: /s/ E		•	12/10/2013
Executive Officer	**C:		
CDE II DADTNEDS I D. D.	**Signature of Reporting Person	al Dortnor Dy ESI Investmente	Date
SPE II PARTNERS, LP, By: RBS Inc., Its: General Partner, By: /s/ E Executive Officer		•	12/10/2013
	<u>**</u> Signature of Reporting Person		Date

SPE MASTER II, LP, By: RBS Partners, L.P., Its: General Partner, By: ESL Investments, Inc., Its: General Partner, By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief 12/10/2013 **Executive Officer** \*\*Signature of Reporting Person Date

RBS PARTNERS, L.P., By: ESL Investments, Inc., Its: General Partner, By: /s/ Edward S.	
Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer	12/10/2013

\*\*Signature of Reporting Person

Date

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ESL INSTITUTIONAL PARTNERS, L.P., By: RBS Investment Management, L.L.C., Its:				
General Partner, By: ESL Investments, Inc., Its: Manager, By: /s/ Edward S. Lampert, Name:				
Edward S. Lampert, Title: Chief Executive Officer				
**Signature of Reporting Person	Date			
RBS INVESTMENT MANAGEMENT, L.L.C., By: ESL Investments, Inc., Its: Manager,				
By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title: Chief Executive Officer				
**Signature of Reporting Person	Date			
ESL INVESTMENTS, INC., By: /s/ Edward S. Lampert, Name: Edward S. Lampert, Title:				
Chief Executive Officer	12/10/2013			
**Signature of Reporting Person	Date			
Evalenation of Decremence.				

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This price represents the approximate weighted average price per share of common stock of AutoNation, Inc. (the "Issuer"), par value

(1) \$0.01 per share (each, a "Share"), of sales that were executed at prices ranging from \$50.55 to \$50.94 per Share. The reporting persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

(2) This statement is jointly filed by and on behalf of each of Edward S. Lampert, ESL Partners, L.P. ("Partners"), SPE II Partners, LP ("SPE II"), SPE Master II, LP ("SPE Master II"), RBS Partners, L.P. ("RBS"), ESL Institutional Partners, L.P. ("Institutional"), RBS Investment Management, L.L.C. ("RBSIM") and ESL Investments, Inc. ("ESL"). Mr. Lampert, Partners, SPE II, SPE Master II and Institutional are the direct beneficial owners of the securities covered by this statement.

RBS is the general partner of, and may be deemed to beneficially own securities owned by, Partners, SPE II and SPE Master II. RBSIM is the general partner of, and may be deemed to beneficially own securities owned by, Institutional. ESL is the general partner of RBS

(3) Is the general partier of, and may be deemed to beneficially own securities owned by, firstitutional. ESE is the general partier of RBS and the manager of RBSIM. ESL may be deemed to beneficially own securities owned by RBS and RBSIM. Mr. Lampert is the Chairman, Chief Executive Officer and Director of, and may be deemed to beneficially own securities owned by, ESL.

(4) The reporting persons state that neither the filing of this statement nor anything herein shall be deemed an admission that such persons are, for purposes of Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act") or otherwise, the beneficial owners of any securities covered by this statement. The reporting persons disclaim beneficial ownership of the securities covered by this statement, except to the extent of the pecuniary interest of such persons in such securities.

The reporting persons may be deemed to be a member of a group with respect to the Issuer or securities of the Issuer for purposes of Section 13(d) or 13(g) of the Exchange Act. The reporting persons declare that neither the filing of this statement nor anything herein

- (5) Section 15(d) of 15(g) of the Exchange Act. The reporting persons declare that netther the thing of this statement for anything herein shall be construed as an admission that such persons are, for the purposes of Section 13(d) or 13(g) of the Exchange Act or any other purpose, a member of a group with respect to the Issuer or securities of the Issuer.
- (6) Represents shares directly beneficially owned by SPE Master II.
- (7) Represents shares directly beneficially owned by SPE II.
- (8) Represents shares directly beneficially owned by Institutional.
- (9) Represents shares directly beneficially owned by Partners.
- This price represents the approximate weighted average price per Share of sales that were executed at prices ranging from \$50.40 to
   (10) \$51.09 per Share. The reporting persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

This price represents the approximate weighted average price per Share of sales that were executed at prices ranging from \$50.30 to

(11) \$51.00 per Share. The reporting persons undertake to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

#### **Remarks:**

Exhibit Index Exhibit 99.1 - Joint Filer Information (filed herewith)

### Edgar Filing: AUTONATION, INC. - Form 4

### Exhibit 99.2 - Joint Filing Agreement (filed herewith)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.