Edgar Filing: Apollo Global Management LLC - Form 4

Apollo Global Management LLC Form 4 August 09, 2013

August 09	, 2015										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
Washington, D.C. 20549									OMB Number:	3235-0287	
	this box		••		Expires:	January 31,					
if no le subjec Sectio Form	n 16.	CMENT OI	F CHANGES IN BENEFICIAL OW SECURITIES					NERSHIP OF	Estimated a burden hour	s per	
Form 4 or Form 5 obligations may continue.response0.5See Instruction 1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19400.5											
(Print or Typ	e Responses)										
1. Name and Address of Reporting Person <u>*</u> Giarraputo Barry			2. Issuer Name and Ticker or Trading Symbol				-	5. Relationship of Reporting Person(s) to Issuer			
		Apollo Global Management LLC [APO]				LLC	(Check all applicable)				
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)					Director10% Owner XOfficer (give titleOther (specify			
C/O APOLLO GLOBAL 08/07/2013 below) below) MANAGEMENT, LLC, 9 WEST 57TH STREET below below) Chief Acctg Officer/Controller								roller			
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)				nal		6. Individual or Joint/Group Filing(Check Applicable Line)					
NEW YORK, NY 10019								_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tε	able I - No	n-Derivati	ve Sec	urities Acq	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution D any (Month/Day	ate, if	Code (Instr. 8)	4. Securit pror Dispos (Instr. 3, 4 Amount	ed of (5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Shares	08/07/2013			S	19,280 (1)	D	\$ 27.7204 (2)	308,072 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
reporting of the runner runners	Director	10% Owner	Officer	Other				
Giarraputo Barry C/O APOLLO GLOBAL MANAGEMENT, LLC 9 WEST 57TH STREET NEW YORK, NY 10019			Chief Acctg Officer/Controller					
Signatures								
/s/ Jessica Lomm, as 08/09/2	2013							

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.

The price reported in column 4 represents a weighted average price. These shares were sold in multiple transactions at prices ranging from \$27.32 to \$28.00, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of

(2) If our \$27.52\$ to \$25.55\$, inclusive: The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the start of the securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the ranges set forth herein.

Reported amount includes 244,881 restricted share units ("RSUs") granted under the Apollo Global Management, LLC 2007 Omnibus Equity Incentive Plan. Each RSU represents the contingent right to receive, in accordance with the issuance schedule set forth in the

(3) applicable RSU award agreement, one Class A share for each vested RSU. Subject to accelerated vesting in certain circumstances, the RSUs vest in installments in accordance with the terms of the applicable RSU award agreement, provided the reporting person remains in service through the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.