

SENYEI ANDREW E
Form 4
November 16, 2010

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SENYEI ANDREW E

2. Issuer Name and Ticker or Trading Symbol
COMPLETE GENOMICS INC
[GNOM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
11/16/2010

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O ENTERPRISE PARTNERS, 2223 AVENIDA DE LA PLAYA, SUITE 300

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

LA JOLLA, CA 92037-3218

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)	
				(A) or (D)	Price			
Common Stock, par value \$0.001	11/16/2010		C	315,746	A (1)	315,746	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010		C	257,677	A (3)	573,423	I	See Footnote (2)
Common Stock, par	11/16/2010		C	177,084	A (4)	750,507	I	See Footnote

Edgar Filing: SENYEI ANDREW E - Form 4

value \$0.001								(2)
Common Stock, par value \$0.001	11/16/2010	C	488,789	A	₵	1,239,296	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	C	547,094	A	₵	1,786,390	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	X	308,930	A	\$ 1.5	2,095,320	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	F	51,489	D	\$ 9	2,043,831	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	X	32,364	A	\$ 7.56	2,076,195	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	F	27,186	D	\$ 9	2,049,009	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	X	17,261	A	\$ 7.56	2,066,270	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	F	14,500	D	\$ 9	2,051,770	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	X	2,958	A	\$ 7.56	2,054,728	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	F	2,485	D	\$ 9	2,052,243	I	See Footnote (2)
Common Stock, par value	11/16/2010	X	1,096	A	\$ 7.56	2,053,339	I	See Footnote (2)

\$0.001

Common
Stock, par
value
\$0.001

11/16/2010

F 921 D \$ 9 2,052,418 I

See
Footnote
(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount of Number of Shares
Series A Preferred Stock <u>(1)</u>	<u>(1)</u>	11/16/2010		C	315,746	<u>(8)</u> <u>(9)</u>	Common Stock 315,746
Series B Preferred Stock <u>(3)</u>	<u>(3)</u>	11/16/2010		C	257,677	<u>(8)</u> <u>(9)</u>	Common Stock 257,677
Series C Preferred Stock <u>(4)</u>	<u>(4)</u>	11/16/2010		C	177,084	<u>(8)</u> <u>(9)</u>	Common Stock 177,084
Series D Preferred Stock <u>(5)</u>	<u>(5)</u>	11/16/2010		C	488,789	<u>(8)</u> <u>(9)</u>	Common Stock 488,789
Series D Preferred Stock <u>(5)</u>	<u>(5)</u>	11/16/2010		C	66,138	<u>(8)</u> <u>(9)</u>	Common Stock 66,138
Series D Preferred Stock <u>(5)</u>	<u>(5)</u>	11/16/2010		C	176,737	<u>(8)</u> <u>(9)</u>	Common Stock 176,737
Series E Preferred Stock <u>(5)</u>	<u>(5)</u>	11/16/2010		C	547,094	<u>(8)</u> <u>(9)</u>	Common Stock 547,094
	<u>(5)</u>	11/16/2010		C	27,049	<u>(8)</u> <u>(9)</u>	27,049

Series E Preferred Stock ⁽⁵⁾								Common Stock	
Series E Preferred Stock ⁽⁵⁾	<u>(5)</u>	11/16/2010	C	259,458	<u>(8)</u>	<u>(9)</u>		Common Stock	259,458
Warrant to Purchase Common Stock	\$ 1.5	11/16/2010	X	308,930	<u>(10)</u>	08/12/2016		Common Stock	308,930
Warrant to Purchase Common Stock	\$ 1.5	11/16/2010	X	138,794	<u>(10)</u>	08/12/2016		Common Stock	138,794
Warrant to Purchase Series B Preferred Stock ⁽³⁾	\$ 11.642 ⁽¹¹⁾	11/16/2010	J ⁽¹²⁾	924	<u>(10)</u>	02/21/2012		Common Stock	924
Warrant to Purchase Series B Preferred Stock ⁽³⁾	\$ 11.642 ⁽¹¹⁾	11/16/2010	J ⁽¹²⁾	242	<u>(10)</u>	03/12/2012		Common Stock	242
Warrant to Purchase Series D Preferred Stock ⁽⁵⁾	\$ 7.56	11/16/2010	X	32,364	<u>(10)</u>	02/13/2014		Common Stock	32,364
Warrant to Purchase Series D Preferred Stock ⁽⁵⁾	\$ 7.56	11/16/2010	X	17,261	<u>(10)</u>	04/06/2014		Common Stock	17,261

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

