First California Financial Group, Inc.

Form 4

August 02, 2007

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

See Instruction

1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BIRCHFIELD JOHN W** 

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

First California Financial Group, Inc.

(Check all applicable)

[FCAL]

(Last)

(City)

(Middle)

(Zin)

3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner Other (specify

(First)

(Street)

(State)

(Month/Day/Year) 08/01/2007

X\_ Officer (give title below) Vice Chairman

1880 CENTURY PARK EAST, **SUITE 800** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

LOS ANGELES, CA 90067

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (1)	08/01/2007		P	17,008	` ′	\$ 13.25	66,234 (2)	I	See Footnote (3)
Common Stock							43,893 (2)	I	See Footnote
Common Stock							477,216	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. Pri Deriv Secur (Instr

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 6.29					06/19/2008	06/19/2011	Common Stock	4,476
Stock Option (Right to Buy)	\$ 11.32					04/23/2009	04/23/2012	Common Stock	4,476
Stock Option (Right to Buy)	\$ 11.73					<u>(5)</u>	04/14/2011	Common Stock	4,476
Stock Option (Right to Buy)	\$ 11.73					<u>(6)</u>	03/01/2014	Common Stock	3,580

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
BIRCHFIELD JOHN W						
1880 CENTURY PARK EAST, SUITE 800 LOS ANGELES, CA 90067	X		Vice Chairman			

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### **Signatures**

/s/ Romolo Santarosa, Attorney-in-fact

08/01/2007

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were purchased in a private transaction.
- (2) The reporting person disclaims beneficial ownership of these shares, and this report shall not be deemed an admission that the reporting person is a beneficial owner of such shares for the purposes of Section 16 or for any other purpose.
- (3) As Trustee for the Shane O. Birchfield Trust.
- (4) As Trustee for the Garrett W. Birchfield Trust.
- (5) The option provides for vesting in three equal annual installments beginning April 14, 2008.
- (6) The option provides for vesting in three equal annual installments beginning March 1, 2009.

#### **Remarks:**

**Exhibit List** 

#### Exhibit 24 -- Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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