MILLER ALAN B

Form 4

October 25, 2002

SEC Form 4

## FORM 4

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . . . . 0.5

1. Name and Address of Reporting
Person\*

Miller, Alan B.

(Last) (First)
 (Middle)

367 South Gulph Road

(Street)

King of Prussia, PA 19406

(City) (State)
 (Zip)

2. Issuer Name and Ticker or Trading Symbol

Universal Health Services, Inc.

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) 4. Statement for Month/Day/Year

10/23/2002

5. If Amendment, Date of Original (Month/Day/Year) 6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X Director X 10% Owner
X Officer (give title below) \_ Other
(specify below)

Description Chairman, President, CEO

- 7. Individual or Joint/Group Filing (Check Applicable Line)
- X Form filed by One Reporting PersonForm filed by More than One Reporting Person

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned      |   |   |                                    |   |  |       |        |  |                                   |   |  |  |
|---|---|---|------------------------------------|---|--|-------|--------|--|-----------------------------------|---|--|--|
| 1. Title of<br>Security<br>(Instr. 3)   | 2.Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transa<br>Cod<br>(Inst<br>8) | е | 4. Securit<br>n(A) or Dis<br>(Instr. 3 | posed | Of (D) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Owner-ship Form: Direct (D) or | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|   |   |   | Code                               | ٧ | Amount                                 | A/D   | Price  | Reported Transaction(s)  (Instr. 3 and 4)                        | Indirect (I) (Instr. 4)           | (11130.4)   |  |  |
| Class A<br>convertible<br>to Class B -<br>The Miller<br>Family<br>Charitable<br>Trust | 10/23/2002                                |   | z                                  |   | 181,818                                | A     | \$     |  | ı                                 | Alan B.<br>Miller, as<br>Sole Trustee                             |  |  |
| Class C<br>convertible<br>to Class B -<br>The Miller<br>Family<br>Charitable          | 10/23/2002                                |   | Z                                  |   | 18,182                                 | A     | \$     | 200,000  | I                                 | Alan B.<br>Miller, as<br>Sole Trustee                             |  |  |

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| Trust   |            |   |         |   |           |         |   |                                       |
|---|------------|---|---------|---|-----------|---------|---|---------------------------------------|
| Class A<br>convertible<br>to Class B -<br>The Miller<br>Family<br>Charitable<br>Trust | 10/23/2002 | C | 181,818 | D | <b>\$</b> |         | - | Alan B.<br>Miller, as<br>Sole Trustee |
| Class C<br>convertible<br>to Class B -<br>The Miller<br>Family<br>Charitable<br>Trust | 10/23/2002 | C | 18,182  | D | <b>\$</b> | None    | - | Alan B.<br>Miller, as<br>Sole Trustee |
| Class A convertible to Common B   | 10/23/2002 | С | 338,664 | D | \$        |         | D |                                       |
| Class C<br>convertible<br>to Common<br>B  | 10/23/2002 | С | 33,866  | D | \$        |         | D |                                       |
| Common B  | 10/23/2002 | S | 200,000 | D | \$54.00   |         | D |                                       |
| Common B  | 10/23/2002 | S | 372,530 | D | \$54.00   |         | D |                                       |
| Common B  | 10/23/2002 | S | 495,126 | D | \$54.00   | 584,334 | D |                                       |
| Common B - Alan B. Miller Family Foundation   | 10/23/2002 | Ø | 100,000 | D | \$54.00   | 28,000  | D |                                       |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3.<br>Transaction<br>Date<br>(Month/<br>Day/<br>Year) | 3A. Deemed Execution Date, if any (Month/ Day/ Year) | 4.<br>Transaction<br>Code<br>(Instr.8) |   | 5. Number of<br>nDerivative<br>Securities<br>Acquired (A)<br>or Disposed<br>Of (D)<br>(Instr. 3, 4<br>and 5) |         | Date(ED) |    | Underlying  Securities |                                     | 8. Price<br>of<br>Derivative<br>Security<br>(Instr.5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr.4) | 11 (0 s s s s s s s s s s s s s s s s s s |
|---|--|---|--|--|---|--|---------|----------|----|------------------------|-------------------------------------|---|---|---|
|   |  |   |  | Code                                   | ٧ | А  | D       | DE       | ED | Title                  | Amount<br>or<br>Number<br>of Shares |   |   | (   |
|   |  |   |  |  |   |  |         |          |    |                        |                                     |   |   |   |
| Common A  | \$   | 10/23/2002  |  | z                                      |   |  | 181,818 |          |    | 181,818                | Common<br>B                         | \$  | 1,925,964   |   |
| Common C  | \$   | 10/23/2002  |  | z                                      |   |  | 18,182  |          |    | 18,182                 | Common<br>B                         | \$  | 364,710   |   |
| Miller<br>Family<br>Charitable<br>Trust             | \$   | 10/23/2002  |  | С                                      |   |  | 200,000 |          |    | 200,000                | Common<br>B                         | \$  | 200,000   |   |
| Common A  | \$   | 10/23/2002  |  | С                                      |   |  | 338,664 |          |    | 338,664                |                                     | \$  | 1,587,300   |   |

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|   |         |            |   |  |         |  |         | Common<br>B |         |         |
|---|---------|------------|---|--|---------|--|---------|-------------|---------|---------|
| Common C                                    | \$      | 10/23/2002 | С |  | 33,866  |  | 33,886  | Common<br>B | \$      | 330,844 |
| The Miller<br>Family<br>Charitable<br>Trust | \$54.00 | 10/23/2002 | s |  | 200,000 |  | 200,000 | Common<br>B | \$54.00 | None    |
| Alan B.<br>Miller<br>Family<br>Foundation   | \$54.00 | 10/23/2002 | s |  | 100,000 |  | 100,000 | Common<br>B | \$54.00 | 28,000  |

### **Explanation of Responses:**

(1) Alan B. Miller, Sole Trustee of The Miller Family Charitable Trust

By:

/s/ Alan B. Miller

10/25/2002

\*\* Signature of Reporting Person

Date

SEC 1474 (8-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).