## Edgar Filing: ABIOMED INC - Form 4

ABIOMED IN Form 4 April 01, 2016 <b>FORM</b> Check this b if no longer subject to Section 16. Form 4 or Form 5 obligations may continu <i>See</i> Instructi 1(b).	<b>4</b> UNITED SOX STATE Filed pu Jee. Section 17	MENT O rsuant to s (a) of the	Was F CHAN Section 16	hington, GES IN I SECUR 5(a) of the ility Hold	D.C. 20 BENEFI ITIES e Securit ling Com	549 (CIA ies E ipany	LOW Exchangery Act of	COMMISSION NERSHIP OF e Act of 1934, E 1935 or Section 0	OMB Number: Expires: Estimated a burden hou response	•	
(Print or Type Res	sponses)										
MINOGUE MICHAEL R Symbol				Issuer ISSUER					f Reporting Person(s) to ck all applicable)		
(Last)	(First)	(Middle)		Earliest Tra	ansaction						
C/O ABIOME CHERRY HIL			(Month/D 03/23/20	-				X Director X Officer (give below) Presiden			
DANVERS, N	(Street)			ndment, Da th/Day/Year)	-	l		6. Individual or Jo Applicable Line) _X_ Form filed by C Form filed by M	one Reporting Pe	rson	
(City)	(State)	(Zip)	Tabl	I Non D	onimatina	Security	ition A on	Person uired, Disposed of	on Donoficial	ly Owned	
1.Title of 2	2. Transaction Dat Month/Day/Year	te 2A. Deer ) Executio any	med	3. Transactio Code (Instr. 8)	4. Securit n(A) or Di (Instr. 3, -	ties A spose 4 and (A) or	cquired d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock \$.01 0 par value	)3/31/2016			Code V A <u>(6)</u>	164 <u>(6)</u>	(D) A	Price \$ 75.83	221,048	D		
Common Stock \$.01 par value								25,427	I	By Trust	
Common Stock \$.01 par value								655	I	By Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy) <u>(1)</u>	\$ 13.57					06/01/2007	06/01/2016	Common Stock	0
Stock Option (right to buy) <u>(1)</u>	\$ 13.8					05/23/2009 <u>(2)</u>	05/23/2018	Common Stock	0
Stock Option (right to buy) $(3)$	\$ 18.63					06/15/2009 <u>(4)</u>	08/13/2018	Common Stock	0
Stock Option (right to buy) $(3)$	\$ 5.86					05/28/2010 <u>(2)</u>	05/28/2019	Common Stock	0
Stock Option (right to buy) $(3)$	\$ 10.03					06/03/2011 <u>(2)</u>	06/03/2020	Common Stock	0
Stock Option (Right to Buy) (3)	\$ 22.44					05/22/2013 <u>(2)</u>	05/22/2022	Common Stock	0
Stock	\$ 23.15					05/14/2014(2)	05/14/2024	Common	0

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Option (Right to Buy) <u>(3)</u>				stock	
Stock Option (right to buy) (3)	\$ 21.55	05/14/2015 <u>(5)</u>	05/14/2024	Common Stock	0
Stock Option (right to buy) (3)	\$ 66.25	05/13/2016 <u>(5)</u>	05/13/2025	Common Stock	0

## **Reporting Owners**

attorney)

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
MINOGUE MICHAEL R C/O ABIOMED, INC., 22 CHERRY HILL DRIVE DANVERS, MA 01923	Х		President, CEO, Chairman				
Signatures							
/s/ Stephen C. McEvoy (by pov	wer of		04/01/2016				

**Explanation of Responses:** 

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy shares of Common Stock under the ABIOMED, Inc. 2000 Stock Incentive Plan.
- (2) These options become exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (3) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.

Date

- (4) These options become exercisable based on the achievement of certain performance milestones.
- (5) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.
- (6) These shares of common stock were acquired by the reporting person through participation in the ABIOMED, Inc. Employee Stock Purchase Plan, qualified under Section 423 of the Internal Revenue Code, and the transaction was exempt under Rule 16b-3(c).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.