

ABIOMED INC

Form 4

October 02, 2014

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BOLT WILLIAM J**

(Last) (First) (Middle)

**C/O ABIOMED, INC., 22 CHERRY  
HILL DRIVE**

(Street)

**DANVERS, MA 01923**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**ABIOMED INC [ABMD]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**09/30/2014**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

SVP, QA and Regulatory Affairs

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock,<br>\$.01 par         | 09/30/2014                              |                                                             | A                                    | 227 <sup>(5)</sup> A                                                    | \$<br>21.1055                                                                                                      | 95,003                                                                  | D                                                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
**(e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8.                                  |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                             | Date Exercisable<br>Expiration<br>Date                         | Title                                                               | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(right to<br>buy) <sup>(1)</sup> | \$ 9.36                                                               |                                         |                                                             |                                         |                                                                                                                       | 06/08/2006 06/08/2015                                          | Common<br>Stock                                                     | 30,000                              |
| Stock<br>Option<br>(right to<br>buy) <sup>(1)</sup> | \$ 13.57                                                              |                                         |                                                             |                                         |                                                                                                                       | 06/01/2007 06/01/2016                                          | Common<br>Stock                                                     | 20,000                              |
| Stock<br>Option<br>(right to<br>buy) <sup>(1)</sup> | \$ 11.27                                                              |                                         |                                                             |                                         |                                                                                                                       | 05/30/2008 05/30/2017                                          | Common<br>Stock                                                     | 8,000                               |
| Stock<br>Option<br>(right to<br>buy) <sup>(3)</sup> | \$ 5.86                                                               |                                         |                                                             |                                         |                                                                                                                       | 05/28/2010 <sup>(2)</sup> 05/28/2019                           | Common<br>Stock                                                     | 18,000                              |
| Stock<br>option<br>(right to<br>buy) <sup>(3)</sup> | \$ 13.8                                                               |                                         |                                                             |                                         |                                                                                                                       | 05/23/2009 <sup>(2)</sup> 05/23/2018                           | Common<br>Stock                                                     | 9,666                               |
| Stock<br>Option<br>(right to<br>buy) <sup>(3)</sup> | \$ 10.03                                                              |                                         |                                                             |                                         |                                                                                                                       | 06/03/2011 <sup>(2)</sup> 06/03/2020                           | Common<br>Stock                                                     | 30,000                              |
| Stock<br>Option<br>(right to<br>buy) <sup>(3)</sup> | \$ 22.44                                                              |                                         |                                                             |                                         |                                                                                                                       | 05/22/2013 <sup>(2)</sup> 05/22/2022                           | Common<br>Stock                                                     | 20,000                              |
| Stock<br>Option<br>(Right to<br>Buy) <sup>(3)</sup> | \$ 23.15                                                              |                                         |                                                             |                                         |                                                                                                                       | 05/14/2014 <sup>(2)</sup> 05/14/2023                           | Common<br>stock                                                     | 20,000                              |
|                                                     | \$ 21.55                                                              |                                         |                                                             |                                         |                                                                                                                       | 05/14/2015 <sup>(4)</sup> 05/14/2024                           |                                                                     | 15,000                              |

Stock  
Option  
(Right to  
Buy) <sup>(3)</sup>

Common  
Stock

## Reporting Owners

| Reporting Owner Name / Address                                                   | Relationships |           |                                |       |
|----------------------------------------------------------------------------------|---------------|-----------|--------------------------------|-------|
|                                                                                  | Director      | 10% Owner | Officer                        | Other |
| BOLT WILLIAM J<br>C/O ABIOMED, INC.<br>22 CHERRY HILL DRIVE<br>DANVERS, MA 01923 |               |           | SVP, QA and Regulatory Affairs |       |

## Signatures

/s/ Stephen C. McEvoy (by power of attorney) 10/02/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of this option to buy the number of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2000 Stock Incentive Plan.
- (2) These options become exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (3) Grant to reporting person of this option to buy the number of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.
- (4) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.
- (5) These shares of common stock were acquired by the Reporting Person through participation in the ABIOMED, Inc. Employee Stock Purchase Plan, qualified under Section 423 of the Internal Revenue Code, and the transaction was exempt under Rule 16b-3(c).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.