Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4/A

TCW STRATEGIC INCOME FUND INC

Form 4/A

Common

Stock

09/06/2013

December 17, 2013

| Check the if no long subject to Section 1 Form 4 or Form 5 obligation | UNITED is box ger STATEM 16. or Filed pur | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | OMB APPROVAL OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | | | |
|---|--|---|------------------------------------|---|------------|------------|--|---|--|--|--|--|
| may cont See Instri 1(b). | uction | | | vestment | _ | _ | • | | | | | |
| (Print or Type I 1. Name and A Rivelle Tad | Address of Reporting | Person * | Symbol TCW S | r Name and TRATEG INC [TSI | IC INCO | | | 5. Relationship o Issuer (Chee | f Reporting Pe | | | |
| | ATEGIC INCOM C., 865 S. FIGUE | GIC INCOME 12/17/20 55 S. FIGUEROA | | | ransaction | | | Director 10% Owner _X_ Officer (give title Other (specify below) below) Portfolio Manager | | | | |
| | | | endment, Da nth/Day/Year 013 | _ | l | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) | (State) | (Zip) | Tabl | le I - Non-D | Derivative | Secui | rities Ac | equired, Disposed o | f, or Benefici | ally Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution any (Month/D | Date, if | 3. Transaction Code (Instr. 8) | (D) | and (A) or | d of | Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | 09/06/2013 | | | P | 2,200 | | | 16,139.7079 | I | Charles Schwab & Co Account in the name of Chun-Ling L Tuan (spouse) | | |

P

7,800 A

\$

5.25

23,939.7079 I

Charles

Schwab &

Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4/A

Co in the name of Chun-Ling K Tuan (spouse)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transac Code (Instr. 8 | of Secondary Acquired (A) Display of (Ins.) | ivative urities uired or oosed | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) |
|---|---|---|---|------------------------------------|---|--|--|--------------------|---|--|---|
| | | | | Code | V (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Rivelle Tad

TCW STRATEGIC INCOME FUND, INC. 865 S. FIGUEROA STREET, STE. 1800 LOS ANGELES, CA 90017

Portfolio Manager

Signatures

Patrick Dennis for Tad Rivelle by POA dtd 7-22-13

12/17/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

(9-02)

Deriv Secu Bene Own Follo Repo Trans (Insti

Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4/A

| Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. |
|---|
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |