AIR LEASE CORP Form 4

July 02, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Plueger John L

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

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**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Symbol

(Last) (First) (Middle)

AIR LEASE CORP [AL] 3. Date of Earliest Transaction

(Month/Day/Year)

06/30/2013

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

(Check all applicable)

President and COO

AIR LEASE CORPORATION,, 2000 AVENUE OF THE STARS SUITE 1000N

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

LOS ANGELES, CA 90067

(City)	(State) (Zi	p) Table I	- Non-De	rivative Secu	urities	Acquire	d, Disposed of, o	r Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securitie owr Disposed (Instr. 3, 4	d of (L	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Air Lease Corporation - Class A Common Stock	06/30/2013		A	177,607 (1)		\$ 0	379,998	D	
Air Lease Corporation- Class A Common Stock	06/30/2013		F	92,267	D	\$ 27.59	287,731	D	
							278.334	I	

#### Edgar Filing: AIR LEASE CORP - Form 4

Air Lease Corporation -Class A Common Stock			By the Plueger Family Trust
Air Lease Corporation - Class A Common Stock	500	I	See footnote (2)
Air Lease Corporation - Class A Common Stock	500	I	See footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Relationships

President and COO

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.		6. Date Exerc	cisable and	7. Tit	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transa	ctionNun	nber	Expiration D	ate	Amou	unt of	Derivative
Security	or Exercise		any	Code	of		(Month/Day/	Year)	Unde	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr.	8) Deri	vative	e		Secur	rities	(Instr. 5)
	Derivative				Secu	ırities			(Instr	. 3 and 4)	
	Security				Acq	uired					
					(A)	or					
					Disp	osed					
					of (I	D)					
					(Inst	tr. 3,					
					4, ar	nd 5)					
										Amount	
							Date	Expiration		or	
							Exercisable	Date	Title	Number	
										of	
				Code	V (A)	(D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	•					
	Director	10% Owner	Officer	Other		
Plueger John L						
AIR LEASE CORPORATION,	37		D 11 / 1000			

2000 AVENUE OF THE STARS SUITE 1000N
LOS ANGELES, CA 90067

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#### **Signatures**

Carol H. Forsyte, on behalf of John L. Plueger, President and Chief Operating Officer (Power of Attorney On File)

07/02/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued upon the vesting of performance-based restricted stock units granted under the Amended and Restated Air Lease Corporation 2010 Equity Incentive Plan.
- These shares are owned by one of the reporting person's sons. The reporting person expressly disclaims beneficial ownership of these (2) shares, except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed to be an admission of beneficial ownership of the reported shares for purposes of Section 16 or for any other purposes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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