WILKENS PAUL C Form 4 February 14, 2003

## FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### OMB APPROVAL

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and Add Wilkens, Paul C	•			ame <b>and</b> Ticko st Corporatio	P	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last)  Rochester Gas a 89 East Avenue	of Reporting Person,					atement for lith/Day/Year Yuary 12, 2003	_ Director				
								<u>E</u> <u>SI</u> C	Clectric Corpo ubsidiary of E Corporation	nergy East	
(Street) Rochester, NY 14649							Date	of Original (0 nth/Day/Year) X P	7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	7	Гabl	e I Non-De	rivativ	e Secu	rities Acquired, Dispose			
1. Title of Security (Instr. 3)	2. Trans- action	2A. Deemed Execution Date,	3. Transaction Code (Instr. 8	S-	4. Securities a or Disposed of (Instr. 3, 4 &	Acquir of (D)			6. Owner- ship Form:	7. Nature of Indirect Beneficial Ownership	
	Year)	(Month/Day/ Year)	Code	V	Amount	(A) or (D)	Price	ing Reported Transactions(s) (Instr. 3 & 4)	(Instr. 4)	(Instr. 4)	
Common Stock	2/13/03		A		6000.0000	A		12895.0000	D		
Common Stock								4831.0000	) I	By Spouse	
Common Stock								6848.3410 <u>(1</u>	) I	By 401(k) Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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1. Title of	2. Conver-	3.	3A.	4.	5. Number of		6. Date Exerc	isable	7. Title an	d Amount	8. Price of	9. Number of	10.	11
Derivative	sion or	Trans-	Deemed	Trans-	Derivative			and Expiration		of Underlying		Derivative	Owner-	of
Security	Exercise	action	Execution	action	Securities		Date		Securities		Security	Securities	ship	Ве
	Price of	Date	Date,	Code	Acquired (A	Acquired (A) or		(Month/Day/		(Instr. 3 & 4)		Beneficially	Form	Ov
(Instr. 3)	Derivative		if any		Disposed of (D)		Year)					Owned	of Deriv-	(In
	Security		(Month/	(Instr.	1							Following	ative	
	_		Day/ Year)	8)	(Instr. 3, 4 & 5)							Reported	Security:	
				Code V			Date Expira-		Title	Amount or	1	Transaction(s)	Direct	
				Code	(A)	(D)		tion		Number of		(Instr. 4)	(D)	
							Exci-cisable	Date		Shares		,	or	
								Date		Silaics			Indirect	
													(I)	
													(Instr. 4)	
Employee	22.2500						(5)	6/28/12	Common	60000.0000		60000.0000	D	
Stock									Stock					
Option														
(right to														
buy)/														
SAR (4)														
Employee	19.1000	2/12/03		A	20000.0000		(6)	2/12/13	Common	20000.0000		20000.0000	D	
Stock									Stock					
Option														
(right to														
buy)/														
$SAR^{(4)}$														

Explanation of Responses:

- (1) Includes equity securities acquired with participant contributions, Company matching fund contributions, and reinvested dividends pursuant to the common stock fund of the Company's 401(k) Plan which are exempt from reporting.
- (2) The phantom shares are held in an employee deferred compensation plan. All payments pursuant to this plan shall be paid in cash and shall commence upon retirement or other termination of employment.
- (3) Includes dividend phantom stock acquired pursuant to the dividend reinvestment feature included in the employee deferred compensation plan which is exempt from reporting under Rule 16a-11.
- (4) The Stock Appreciation Right (SAR) was issued in tandem with an Employee Stock Option (right to buy). The exercise of a SAR will result in the corresponding cancellation of the Employee Stock Option (right to buy) to the extent of the number of shares of the Company's Common Stock as to which SARs are exercised. The exercise of the Employee Stock Option (right to buy) will result in the corresponding cancellation of a SAR to the extent of the number of shares of the Company's Common Stock as to which the Employee Stock Option (right to buy) is exercised.
- (5) The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on June 28, 2003; (b) in aggregate as to no more than 66 2/3% on June 28, 2004; and (c) on June 28, 2005 as to 100% of all options which have not been previously exercised.
- (6) The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 12, 2003; (b) in aggregate as to no more than 66 2/3% on January 1, 2004; and (c) on January 1, 2005 as to 100% of all options which have not been previously exercised.

By: /s/ Paul C. Wilkens
Date

\*\*Signature of Reporting Person

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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