

SIMMONS FIRST NATIONAL CORP
Form 5
February 13, 2014

FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
Casteel Marty

(Last) (First) (Middle)

SIMMONS FIRST NATIONAL
CORP, 501 MAIN STREET

(Street)

PINE BLUFF, AR 71603

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SIMMONS FIRST NATIONAL
CORP [SFNC]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2013

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
EVP

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
SFNC	12/31/2013	^	J	311	A	\$ 24.09	1,877	D (1)	^
SFNC	^	^	^	^	^	^	24,905	D	^
SFNC	^	^	^	^	^	^	9,804	D	^
SFNC	^	^	^	^	^	^	3,552	D	^

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
					(A)	(D)	Date Exercisable	Expiration Date		
Incentive Stock Option	\$ 23.78	07/26/2004	Â	X	0	Â	12/31/2005	07/26/2014	Common	2,000
Incentive Stock Option	\$ 24.5	05/23/2005	Â	X	0	Â	12/31/2005	05/24/2015	Common	920
Incentive Stock Option	\$ 26.19	05/22/2006	Â	X	0	Â	05/22/2007	05/20/2016	Common	200
Incentive Stock Option	\$ 26.19	05/22/2006	Â	X	0	Â	05/22/2008	05/20/2016	Common	200
Incentive Stock Option	\$ 26.19	05/22/2006	Â	X	0	Â	05/22/2009	05/20/2016	Common	200
Incentive Stock Option	\$ 26.19	05/22/2006	Â	X	0	Â	05/22/2010	05/20/2016	Common	200
Incentive Stock Option	\$ 26.19	05/22/2006	Â	X	0	Â	05/22/2011	05/20/2016	Common	200
Incentive Stock Option	\$ 28.42	05/31/2007	Â	X	0	Â	05/31/2008	05/31/2017	Common	240
Incentive Stock Option	\$ 28.42	05/31/2007	Â	X	0	Â	05/31/2009	05/31/2017	Common	240
Incentive Stock Option	\$ 28.42	05/31/2007	Â	X	0	Â	05/31/2010	05/31/2017	Common	240
Incentive Stock Option	\$ 28.42	05/31/2007	Â	X	0	Â	05/31/2011	05/31/2017	Common	240
Incentive Stock Option	\$ 28.42	05/31/2007	Â	X	0	Â	05/31/2012	05/31/2017	Common	240
Non-Qualified Stock Option	\$ 30.31	05/29/2008	Â	X	0	Â	05/29/2009	05/28/2018	Common	840
Non-Qualified Stock Option	\$ 30.31	05/29/2008	Â	X	0	Â	05/29/2010	05/28/2018	Common	840

Non-Qualified Stock Option	\$ 30.31	05/29/2008	Â	X	0	Â	05/29/2011	05/28/2018	Common	84
Non-Qualified Stock Option	\$ 30.31	05/29/2008	Â	X	0	Â	05/29/2012	05/28/2018	Common	84
Non-Qualified Stock Option	\$ 30.31	05/29/2008	Â	X	0	Â	05/29/2013	05/28/2018	Common	84

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Casteel Marty SIMMONS FIRST NATIONAL CORP 501 MAIN STREET PINE BLUFF, AR 71603	Â	Â	Â EVP	Â

Signatures

/s/ Marty Casteel by Piper P. Erwin
Date: 02/13/2014

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired through the Employee Stock Purchase Plan.

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