

Edgar Filing: NEWMONT MINING CORP /DE/ - Form 3

NEWMONT MINING CORP /DE/  
 Form 3  
 September 27, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 3  
 INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

1. Name and Address of Reporting Person  
 MAHONEY, THOMAS M.  
 1700 LINCOLN STREET  
 DENVER, CO 80203  
 USA

2. Date of Event Requiring Statement (Month/Day/Year)  
 May 15, 2002

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Issuer Name and Ticker or Trading Symbol  
 NEWMONT MINING CORPORATION  
 NEM

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 Director  10% Owner  Officer (give title below)  Other  
 (specify below)  
 Vice Pres., Treasurer & Asst. Secy.

6. If Amendment, Date of Original (Month/Day/Year)  
 May 28, 2002

7. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

| 1. Title of Security           | 2. Amount of Securities Beneficially Owned | 3. Ownership Form: Direct (D) or Indirect (I) | 4. Nature of Beneficial |
|--------------------------------|--|---|-------------------------|
| Common Stock, \$1.60 par value | 4,739                                      | D   |                         |
|                                | 466  | I   | By 401-K (1)            |

Table II -- Derivative Securities Beneficially Owned

| 1. Title of Derivative Security | 2. Date Exercisable and Expiration Date | 3. Title and Amount of Underlying Securities | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security |
|---------------------------------|---|--|--|--|
| Stock Option (right to buy)     | 5/17/04                                 | Common Stock<br>1,560                        | \$39.50  | D  |
| Stock Option (right to buy)     | 11/16/04                                | Common Stock<br>1,560                        | \$40.07  | D  |

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|                                  |  |          |  |              |  |       |  |         |  |   |
|----------------------------------|--|----------|--|--------------|--|-------|--|---------|--|---|
| uy)                              |  |          |  |              |  |       |  |         |  |   |
| Stock Option (right to buy)      |  | 5/17/05  |  | Common Stock |  | 1,560 |  | \$39.94 |  | D |
| Stock Option (right to buy)      |  | 11/15/05 |  | Common Stock |  | 1,560 |  | \$40.44 |  | D |
| Stock Option (right to buy)      |  | 5/13/06  |  | Common Stock |  | 1,560 |  | \$58.94 |  | D |
| Stock Option (right to buy)      |  | 11/20/06 |  | Common Stock |  | 3,120 |  | \$51.94 |  | D |
| Stock Option (right to buy)      |  | 5/21/07  |  | Common Stock |  | 1,800 |  | \$37.82 |  | D |
| Stock Option (right to buy)      |  | 11/18/07 |  | Common Stock |  | 2,000 |  | \$31.75 |  | D |
| Stock Option (right to buy) (2)  |  | 5/19/08  |  | Common Stock |  | 3,333 |  | \$28.32 |  | D |
| Stock Option (right to buy) (3)  |  | 11/17/08 |  | Common Stock |  | 2,500 |  | \$21.69 |  | D |
| Stock Option (right to buy) (4)  |  | 5/18/09  |  | Common Stock |  | 2,500 |  | \$19.57 |  | D |
| Stock Option (right to buy) (5)  |  | 11/17/09 |  | Common Stock |  | 2,500 |  | \$22.47 |  | D |
| Stock Option (right to buy) (6)  |  | 5/16/10  |  | Common Stock |  | 3,500 |  | \$25.44 |  | D |
| Stock Option (right to buy) (7)  |  | 11/14/10 |  | Common Stock |  | 2,625 |  | \$13.22 |  | D |
| Stock Option (right to buy) (8)  |  | 5/15/11  |  | Common Stock |  | 2,500 |  | \$21.03 |  | D |
| Stock Option (right to buy) (9)  |  | 11/12/11 |  | Common Stock |  | 3,750 |  | \$23.67 |  | D |
| Stock Option (right to buy) (10) |  | 5/14/12  |  | Common Stock |  | 3,750 |  | \$27.87 |  | D |

Explanation of Responses:

(1) Holdings as of April 30, 2002 in Reporting Person's 401-K Plan.

(2) This option vests in four equal annual installments beginning on May 19, 1999.

(3) This option vests in four equal annual installments beginning on November 17, 1999.

(4) This option vests in four equal annual installments beginning on May 18, 2000.

(5) This option vests in four equal annual installments beginning on November 17, 2000.

(6) This option vests in four equal annual installments beginning on May 16, 2001.

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(7) This option vests in four equal annual installments beginning on November 14, 2001.

(8) This option vests in four equal annual installments beginning on May 15, 2002.

(9) This option vests in four equal annual installments beginning on November 12, 2002.

(10) This option vests in four equal annual installments beginning on May 14, 2003.

(11) Thomas P. Mahoney has executed a power of attorney, a copy of which has been previously filed, authorizing Ardis Young to execute this Form 3 on his behalf.

SIGNATURE OF REPORTING PERSON

Thomas P. Mahoney by Ardis Young, Attorney-in-Fact (11)

DATE

September 27, 2002