Ideal Power Inc. Form 4 March 06, 2017

## FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Expires: January 31, 2005
Estimated average

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

BURNS TII	MOTHY	Symbol	er Name <b>and</b> Power Inc.		Tradi	ng	Issuer (Cher	r Reporting Pers	`,	
(Last)	(First) (M		of Earliest Ti Day/Year)	ransaction			Director		Owner	
4120 FREII 100	ORICH LANE, SI		-				_X_ Officer (give below) Chief	e titleOther below) Financial Office	` 1	
	(Street)	4. If Am	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
ALICTINI	NY 70744	Filed(Mo	Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
AUSTIN, T	X /8/44						Person			
(City)	(State)	(Zip) Tal	ole I - Non-E	Derivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	(D) or	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	02/02/2017		Code V	Amount	. ,	Price \$	(Instr. 3 and 4)	D		
Stock	03/03/2017		P	7,800	A	2.535	18,800	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Ideal Power Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Warrants	\$ 2.41	03/03/2017		P	7,800	09/04/2017	03/03/2020	Common Stock	7,800

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BURNS TIMOTHY 4120 FREIDRICH LANE, SUITE 100 AUSTIN, TX 78744

Chief Financial Officer

## **Signatures**

/s/ Timothy
Burns

\*\*Signature of Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported securities are included within the securities purchased by the reporting person for \$2.535 per share of common stock together with a warrant to purchase one share of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2