### Edgar Filing: NATURES SUNSHINE PRODUCTS INC - Form 4

#### NATURES SUNSHINE PRODUCTS INC

Form 4

August 19, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* WYNNEFIELD PARTNERS SMALL CAP VALUE LP

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

NATURES SUNSHINE PRODUCTS INC [NATR]

(Check all applicable)

(First)

3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title below)

X\_\_ 10% Owner \_ Other (specify

450 SEVENTH AVENUE, SUITE 509

08/15/2014

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

(Middle)

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

NEW YORK, NY 10123

(City)	(State)	(Zip) Tabl	e I - Non-D	)erivative	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	ed of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/15/2014		Code V P	Amount 361	(D)	Price \$ 15.6	560,816	D (1) (4) (5)	
Common Stock	08/15/2014		P	590	A	\$ 15.6	832,675	I	See footnote (2)
Common Stock	08/15/2014		P	251	A	\$ 15.6	359,990	I	See footnote (3)
Common Stock	08/18/2014		P	4,074	A	\$ 15.54	564,890	D (1) (4) (5)	

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Common Stock	08/18/2014	P	6,666	A	\$ 15.54	839,341	I	See footnote (2)
Common Stock	08/18/2014	P	2,808	A	\$ 15.54	362,798	I	See footnote (3)
Common Stock	08/19/2014	P	316	A	\$ 15.6	565,206	D (1) (4) (5)	
Common Stock	08/19/2014	P	517	A	\$ 15.6	839,858	I	See footnote (2)
Common Stock	08/19/2014	P	217	A	\$ 15.6	363,015	I	See footnote (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr. 3	ction	5. rNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code	v	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address		Relationsh	ııps	
	Director	10% Owner	Officer	Other

WYNNEFIELD PARTNERS SMALL CAP VALUE LP 450 SEVENTH AVENUE **SUITE 509** NEW YORK, NY 10123

 $\mathbf{X}$ 

2 Reporting Owners

WYNNEFIELD PARTNERS SM 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123	IALL CAP VALUE LP I	X	
WYNNEFIELD SMALL CAP V 450 SEVENTH AVE SUITE 509 NEW YORK, NY 10123	ALUE OFFSHORE FUND LTD	X	
WYNNEFIELD CAPITAL MAN 450 SEVENTH AVE SUITE 509 NEW YORK, NY 10123	IAGEMENT LLC	X	
WYNNEFIELD CAPITAL INC 450 SEVENTH AVE SUITE 509 NEW YORK, NY 10123		X	
OBUS NELSON 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		X	
LANDES JOSHUA 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		X	
Signatures			
WYNNEFIELD PARTNERS SM	MALL CAP VALUE, L.P., By: Wynnef ner, /s/ Nelson Obus, Managing Member	*	08/19/2014
	**Signature of Reporting Person		Date
	MALL CAP VALUE, L.P. I, By: Wynne ner, /s/ Nelson Obus, Managing Member	•	08/19/2014
	**Signature of Reporting Person		Date
WYNNEFIELD SMALL CAP V Inc., /s/ Nelson Obus, President	ALUE OFFSHORE FUND, LTD., By:	Wynnefield Capital,	08/19/2014
	**Signature of Reporting Person		Date
WYNNEFIELD CAPITAL MAN	NAGEMENT, LLC, /s/ Nelson Obus, M	lanaging Member	08/19/2014
	**Signature of Reporting Person		Date
WYNNEFIELD CAPITAL, INC	., /s/ Nelson Obus, President		08/19/2014
	**Signature of Reporting Person		Date
/s/ Nelson Obus, Individually			08/19/2014
	**Signature of Reporting Person		Date
/s/ Joshua Landes, Individually			08/19/2014
	**Signature of Reporting Person		Date

Signatures 3

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Wynnefield Partners Small Cap Value, L.P. (the "Reporting Person") directly beneficially owns 565,206 shares of common stock, no par value per share ("Common Stock") of Nature's Sunshine Products, Inc. Wynnefield Capital Management, LLC ("WCM"), as the sole general partner of the Reporting Person, has an indirect beneficial ownership interest in the shares of Common Stock that the

- (1) reporting Person directly beneficially owns. WCM, located at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. Nelson Obus and Joshua Landes as co-managing members of WCM have an indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns. Mr. Obus and Mr. Landes, each located at the same address as the Reporting Person, are filing this Form jointly with the Reporting person (see footnote 4).
  - The Reporting Person has an indirect beneficial ownership interest in 839,858 shares of Common Stock, which are directly beneficially owned by Wynnefield Partners Small Cap Value, L.P. I ("WPSCVI"), as members of a group (a "13D Group") under Section 13(d) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"). WPSCVI, which maintains offices at the same address as the
- (2) Reporting Person, is filing this Form jointly with the Reporting Person. WCM, as the sole general partner of WPSCVI, has an indirect beneficial ownership interest in the shares of Common Stock that WPSCVI directly beneficially owns. Mr. Obus and Mr. Landes, as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that WPSCVI directly beneficially owns.
  - The Reporting Person has an indirect beneficial ownership interest in 363,015 shares of Common Stock, which are directly beneficially owned by Wynnefield Small Cap Value Offshore Fund, Ltd. ("Offshore"), as members of a 13D Group. Offshore, located at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. WCI, as the sole investment manager of
- (3) Offshore, has an indirect beneficial ownership interest in the shares of Common Stock that Offshore directly beneficially owns. WCI, located at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. Mr. Obus and Mr. Landes, as principal executive officers of WCI have an indirect beneficial ownership interest in the shares of Common Stock that Offshore directly beneficially owns.
- The Reporting Person has an indirect beneficial ownership interest in 44,911 shares of Common Stock, which are directly beneficially owned by Wynnefield Capital, Inc. Profit Sharing Plan (the "Plan"), as members of a 13D Group. The Plan, located at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. WCI, as the sole investment manager of the Plan, has an indirect beneficial ownership interest in the shares of Common Stock that the Plan directly beneficially owns. WCI, located at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. Mr. Obus and Mr. Landes, as principal executive officers of WCI have an indirect beneficial ownership interest in the shares of Common Stock that the Plan beneficially owns.
- Mr. Obus and Mr. Landes disclaim beneficial ownership of the securities described in this statement, except to the extent of their individual pecuniary interest in such securities. The filing of this statement shall not be deemed an admission that Mr. Obus and Mr. Landes are, for purposes of Section 16 of the Exchange Act or otherwise, the beneficial owner of any securities specified in this statement.

#### **Remarks:**

This Form 4 is being filed jointly by Wynnefield Partners Small Cap Value, L.P. ("Partners"), Wynnefield Partners Small Cap Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.