

GOLDSTEIN BARRY
Form 5
January 02, 2018

FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
GOLDSTEIN BARRY

2. Issuer Name and Ticker or Trading Symbol
KINGSTONE COMPANIES, INC.
[KINS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2017

Director 10% Owner
 Officer (give title below) Other (specify below)
President, COB, CEO

15 JOYS LANE
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting
(check applicable line)

KINGSTON, NY 12401

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|-----------------------------------|
| Common Stock | 06/16/2017 | ^ | G | 750 D \$ (1) | 600,404 | D | ^ |
| Common Stock | 06/26/2017 | ^ | G | 2,500 D \$ (2) | 600,404 | D | ^ |
| Common Stock | 12/08/2017 | ^ | G | 1,000 D \$ (2) | 600,404 | D | ^ |
| Common Stock | 12/08/2017 | ^ | G | 2,500 D \$ (3) | 600,404 | D | ^ |

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| | | | | | | | | | |
|--------------|------------|---|---|-------|---|---------------|---------|---|----------------|
| Common Stock | 12/20/2017 | Â | G | 2,500 | D | \$ <u>(3)</u> | 600,404 | D | Â |
| Common Stock | 12/26/2017 | Â | G | 750 | D | \$ <u>(4)</u> | 600,404 | D | Â |
| Common Stock | 12/27/2017 | Â | G | 600 | D | \$ <u>(5)</u> | 600,404 | D | Â |
| Common Stock | Â | Â | Â | Â | Â | Â | 73,168 | I | Held by Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Option | \$ 6.73 | Â | Â | Â | Â (A) (D) | Â <u>(6)</u> 08/12/2019 | Common Stock | 250,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| GOLDSTEIN BARRY 15 JOYS LANE KINGSTON, NY 12401 | Â X | Â | Â President, COB, CEO | Â |

Signatures

/s/ Fred Skolnik, by power of attorney, for Barry B. Goldstein

01/02/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Charitable gift to UJA Federation.
- (2) Charitable gift to University of Buffalo Foundation.
- (3) Charitable gift to Englewood Hospital and Medical Center Foundation.
- (4) Charitable gift to Independent Insurance Agents and Brokers Foundation.
- (5) Charitable gift to South Nassau Communities Hospital.
- (6) The option is exercisable to the extent of 62,500 shares on each of August 12, 2014, 2015, 2016 and 2017.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.