MULESOFT, INC Form 4 March 22, 2017

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

MULESOFT, INC [MULE]

3. Date of Earliest Transaction

(Print or Type Responses)

1. Name and Address of Reporting Person \* CISCO SYSTEMS, INC.

(First) (Middle) (Last)

170 WEST TASMAN DR

(Street)

(Month/Day/Year) 03/22/2017

Symbol

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Ownership

Form: Direct

(Instr. 4)

(D) or Indirect Beneficial

7. Nature of

Ownership

(Instr. 4)

Indirect

Person

5. Amount of

Beneficially

Securities

Owned

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SAN JOSE, CA 95134-1706

(State)

1.Title of 2. Transaction Date 2A. Deemed

Security (Instr. 3)

(City)

(Zip)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

Following Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)

Conversion or Exercise Price of

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

5. Number of 4. TransactionDerivative Code Securities (Instr. 8) Acquired (A) or 6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount o **Underlying Securities** (Instr. 3 and 4)

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|   | Derivative<br>Security |            |      |   | Disposed of (D) (Instr. 3, 4, and 5) |                     |                    |                            |                            |
|---|------------------------|------------|------|---|--------------------------------------|---------------------|--------------------|----------------------------|----------------------------|
|   |                        |            | Code | V | (A) (D)                              | Date<br>Exercisable | Expiration<br>Date | Title                      | Amount<br>Number<br>Shares |
| Series F<br>Convertible<br>Preferred<br>Stock | <u>(1)</u>             | 03/22/2017 | С    |   | 153,045                              | <u>(1)</u>          | <u>(1)</u>         | Class B<br>Common<br>Stock | 153,0                      |
| Series G<br>Convertible<br>Preferred<br>Stock | (1)                    | 03/22/2017 | С    |   | 1,336,033                            | <u>(1)</u>          | <u>(1)</u>         | Class B<br>Common<br>Stock | 1,336,                     |
| Class B<br>Common<br>Stock                    | <u>(2)</u>             | 03/22/2017 | С    |   | 1,489,078                            | (2)                 | (2)                | Class A<br>Common<br>Stock | 1,489,                     |

## **Reporting Owners**

| Reporting Owner Name / Address       | Relationships |           |         |       |  |  |  |
|--------------------------------------|---------------|-----------|---------|-------|--|--|--|
| Toporting of more remote requirement | Director      | 10% Owner | Officer | Other |  |  |  |
| CISCO SYSTEMS, INC.                  |               |           |         |       |  |  |  |
| 170 WEST TASMAN DR                   |               | X         |         |       |  |  |  |
| SAN JOSE, CA 95134-1706              |               |           |         |       |  |  |  |

# **Signatures**

/s/ Evan Sloves,
Secretary

\*\*Signature of Reporting
Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The convertible preferred stock converted into the issuer's Class B Common Stock on a 1-for-1 basis on the closing of the issuer's initial public offering and had no expiration date.
- The Class B Common Stock is convertible at the holder's election into Class A Common Stock on a 1-for-1 basis and has no expiration date. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon any transfer, whether or not for value, except for certain transfers described in the issuer's certificate of incorporation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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