HC2 Holdings, Inc. Form 4 June 15, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* LEFFLER ROBERT V JR

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

below)

(Last)

(City)

Common

Stock (1)

(First)

(Middle)

HC2 Holdings, Inc. [HCHC]

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director

10% Owner Officer (give title Other (specify

C/O HC2 HOLDINGS, INC., 505 HUNTMAR PARK DRIVE, SUITE

(Street)

(State)

06/11/2015

325

4. If Amendment, Date Original

A

Filed(Month/Day/Year)

06/11/2015

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HERNDON, VA 20170

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A) or

Α

\$0

Transaction(s) (Instr. 3 and 4)

(D) Price

Code V Amount 5,435

14,619

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: HC2 Holdings, Inc. - Form 4

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.                     | 6. Date Exerc       |                    | 7. Title         |            | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------------------|---------------------|--------------------|------------------|------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber               | ber Expiration Date |                    | Amount of        |            | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of                     | (Month/Day/         | Year)              | Underl           | ying       | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative             | e                   | Securities         |                  | (Instr. 5) | Bene        |        |
|             | Derivative  |                     |                    |            | Securities<br>Acquired |                     |                    | (Instr. 3 and 4) |            |             | Owne   |
|             | Security    |                     |                    |            |                        |                     |                    |                  |            |             | Follo  |
|             | ·           |                     |                    |            | (A) or                 |                     |                    |                  |            | Repo        |        |
|             |             |                     |                    |            | Disposed               |                     |                    |                  |            |             | Trans  |
|             |             |                     |                    |            | of (D)                 |                     |                    |                  |            |             | (Instr |
|             |             |                     |                    |            | (Instr. 3,             |                     |                    |                  |            |             |        |
|             |             |                     |                    |            | 4, and 5)              |                     |                    |                  |            |             |        |
|             |             |                     |                    |            | , )                    |                     |                    |                  |            |             |        |
|             |             |                     |                    |            |                        |                     |                    |                  | Amount     |             |        |
|             |             |                     |                    |            |                        | Date<br>Exercisable | Expiration<br>Date |                  | or         |             |        |
|             |             |                     |                    |            |                        |                     |                    | Title            | Number     |             |        |
|             |             |                     |                    |            |                        |                     |                    |                  | of         |             |        |
|             |             |                     |                    | Code V     | (A) (D)                |                     |                    |                  | Shares     |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LEFFLER ROBERT V JR C/O HC2 HOLDINGS, INC. 505 HUNTMAR PARK DRIVE, SUITE 325 HERNDON, VA 20170

### **Signatures**

Robert Leffler 06/12/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

X

(1) Reflects the restricted stock granted on June 11, 2015, 1,812 shares vested on June 11, 2015, 1,812 shares shall vest on June 11, 2016, and 1,811 shares shall vest on June 11, 2017, provided Mr. Leffler continues as a Director of HC2 Holdings, Inc. on each such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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