Edgar Filing: TORTOISE ENERGY INFRASTRUCTURE CORP - Form 4

TORTOISE ENERGY INFRASTRUCTURE CORP

Form 4

October 31, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

INFRASTRUCTURE CORP [TYG]

TORTOISE ENERGY

Symbol

1(b).

(Print or Type Responses)

BIRZER H KEVIN

1. Name and Address of Reporting Person *

See Instruction

				INTRASTRUCTURE CORT [110]									
(Last) (First) (Middle) 11550 ASH STREET, SUITE 300				3. Date of Earliest Transaction (Month/Day/Year) 06/23/2014					_X_ Director Officer (give below)		6 Owner er (specify		
					endment, Da nth/Day/Year	Č	l		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person _Form filed by More than One Reporting				
	LEAWOOL), KS 00211							Person				
	(City)	(State)	(Zip)	Tabl	le I - Non-I	Derivative S	Secur	ities Acq	uired, Disposed of	f, or Beneficial	lly Owned		
	1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any		3. Transactic Code (Instr. 8)	4. Securition(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Common Shares	06/23/2014			J(1)	14,466	A	<u>(1)</u>	61,816.819 (2)	D			
	Common Shares	06/23/2014			J <u>(3)</u>	4,391	A	<u>(3)</u>	66,207.819	D			
	Common Shares	06/23/2014			J <u>(4)</u>	675	A	<u>(4)</u>	2,506.63 (5)	I	By spouse as custodian of children's accounts		
		06/23/2014			<u>J(6)</u>	580	A	<u>(6)</u>	3,086.63	I			

Edgar Filing: TORTOISE ENERGY INFRASTRUCTURE CORP - Form 4

Common Shares								By spouse as custodian of children's accounts	
Common Shares	08/15/2014	S	1.63 <u>(7)</u>	D	\$ 47.74	3,085	I	By spouse as custodian of children's accounts	
Common Shares	08/18/2014	S	0.736	D	\$ 48.18	66,207.083	D		
Common Shares	10/30/2014	P	100	A	\$ 46.29	66,518.046 (8)	D		
Common Shares						2,468 <u>(9)</u>	Ι	By spouse as custodian of children's accounts	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.									

Davage with a very and to the

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	etion 3)	5. nNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BIRZER H KEVIN 11550 ASH STREET SUITE 300 LEAWOOD, KS 66211

X

Signatures

H. Kevin Birzer 10/31/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Received in exchange for 19,303.44 common shares of Tortoise Energy Capital Corporation ("TYY") plus cash in lieu of fractional shares of TYG in connection with merger of TYY into Tortoise Energy Infrastructure Corporation ("TYG") which was effective on June

- (1) 23, 2014. The exchange rate was based on each company's relative net asset value ("NAV") per share as of June 20, 2014, the business day immediately preceding the closing of the merger, which was \$50.95 for TYG and \$38.19 for TYY. On June 20, 2014, the closing price of TYY common shares was \$36.22 and the closing price of TYG common shares was \$48.46.
- (2) Includes 13,066.485 shares acquired under the TYG dividend reinvestment plan.

Received in exchange for 6,717.606 common shares of Tortoise North American Energy Corporation ("TYN") plus cash in lieu of fractional shares of TYG in connection with merger of TYN into TYG which was effective on June 23, 2014. The exchange rate was

- (3) based on each company's relative NAV per share as of June 20, 2014, the business day immediately preceding the closing of the merger, which was \$50.95 for TYG and \$33.32 for TYN. On June 20, 2014, the closing price of TYN common shares was \$31.54 and the closing price of TYG common shares was \$48.46.
- Received in exchange for 901.28 common shares of TYY plus cash in lieu of fractional shares of TYG in connection with merger of TYY into TYG which was effective on June 23, 2014. The exchange rate was based on each company's relative net asset value ("NAV") per share as of June 20, 2014, the business day immediately preceding the closing of the merger, which was \$50.95 for TYG and \$38.19 for TYY. On June 20, 2014, the closing price of TYY common shares was \$48.46.
- Includes 678.649 shares acquired under the TYG dividend reinvestment plan, and excludes 310.287 shares held by adult child no longer (5) living at home and in which the reporting person no longer has a reportable beneficial interest but which have been included in the reporting person's prior ownership information.
- Received in exchange for 888.115 common shares of TYN plus cash in lieu of fractional shares of TYG in connection with merger of TYN into TYG which was effective on June 23, 2014. The exchange rate was based on each company's relative NAV per share as of June 20, 2014, the business day immediately preceding the closing of the merger, which was \$50.95 for TYG and \$33.32 for TYN. On June 20, 2014, the closing price of TYN common shares was \$31.54 and the closing price of TYG common shares was \$48.46.
- (7) Consists of fractional share sales from accounts in connection with account transfers.
- (8) Includes 210.963 shares acquired under the TYG dividend reinvestment plan.
- Indirect shares noted above held by spouse as custodian of children's accounts, but excluding 617 shares held by adult child no longer (9) living at home and in which the reporting person no longer has a reportable beneficial interest but which have been included in the reporting person's prior ownership information.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3