

FAISON RALPH E
Form 4
February 03, 2012

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FAISON RALPH E

2. Issuer Name and Ticker or Trading Symbol
PULSE ELECTRONICS CORP
[PULS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
01/31/2012

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President and CEO

C/O PULSE ELECTRONICS CORPORATION, 12220 WORLD TRADE DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SAN DIEGO, CA 92128

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, \$0.125 par value per share ⁽¹⁾	01/31/2012		S		300	D	\$ 3.0367 ⁽²⁾
Common Stock, \$0.125 par value per share ⁽¹⁾	02/03/2012		S		11,755	D	\$ 3.0278 ⁽³⁾

Edgar Filing: FAISON RALPH E - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

FAISON RALPH E
C/O PULSE ELECTRONICS CORPORATION
12220 WORLD TRADE DRIVE
SAN DIEGO, CA 92128

X

Chairman, President and CEO

Signatures

/s/ Ralph E.
Faison

02/03/2012

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) As previously disclosed by the Issuer in a Current Report on Form 8-K filed with the Commission on November 17, 2011, these shares are being sold pursuant to the reporting person's written trading plan pursuant to Rule 10b5-1 of the Securities Exchange Act of 1934, as amended, which plan was entered into for the purpose of financing the payment of applicable withholding taxes on the issuance of certain shares to the reporting person.

(2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$3.01 to \$3.05 per share pursuant to the reporting person's 10b5-1 Plan. The reporting person undertakes to provide to Pulse Electronics Corporation, any security holder of Pulse Electronics Corporation, or the staff of the Securities and Exchange Commission, upon request,

Edgar Filing: FAISON RALPH E - Form 4

full information regarding the number of shares sold by him at each separate price on January 31, 2012.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$3.01 to \$3.07 per share pursuant to the reporting person's 10b5-1 Plan. The reporting person undertakes to provide to Pulse Electronics

- (3) Corporation, any security holder of Pulse Electronics Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold by him at each separate price on February 3, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.