

WMS INDUSTRIES INC /DE/  
Form 4  
September 20, 2007

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SCHWEINFURTH SCOTT D

2. Issuer Name and Ticker or Trading Symbol  
WMS INDUSTRIES INC /DE/  
[WMS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
Exec. VP, CFO and Treasurer

C/O WMS INDUSTRIES INC., 800 S. NORTHPOINT BLVD.

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

WAUKEGAN, IL 60085

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	09/19/2007		M	37,500	A \$ 9.52	65,903 <sup>(1)</sup> <sup>(2)</sup>	D
Common Stock	09/19/2007		S	1,490	D \$ 30.21	64,413 <sup>(2)</sup>	D
Common Stock	09/19/2007		S	35,900	D \$ 30.23	28,513 <sup>(2)</sup>	D
Common Stock	09/19/2007		S	100	D \$ 30.24	28,413 <sup>(2)</sup>	D
Common Stock	09/19/2007		S	10	D \$ 30.26	28,403 <sup>(2)</sup>	D

Edgar Filing: WMS INDUSTRIES INC /DE/ - Form 4

Common Stock 09/19/2007 A 7,452 A \$ 0 35,855 (2) (3) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 9.52	09/19/2007		M	37,500	05/09/2003 05/09/2012	Common Stock	37,500
Stock Option (Right to Buy)	\$ 30.55	09/19/2007		A	20,866	<u>(4)</u> 09/19/2014	Common Stock	20,866

## Reporting Owners

Reporting Owner Name / Address	Relationships
SCHWEINFURTH SCOTT D C/O WMS INDUSTRIES INC. 800 S. NORTHPOINT BLVD. WAUKEGAN, IL 60085	Director 10% Owner Officer  Exec. VP, CFO and Treasurer

## Signatures

/s/ Scott D.  
Schweinfurth 09/20/2007

\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Additional shares of Common Stock were issued to the reporting person as a result of issuer's three-for-two stock split.

Includes (i) 14,245 shares of restricted stock which restrictions will lapse on 12/9/2007; and (ii) 12,628 shares of restricted stock, restrictions on 3,157 shares will lapse on 6/7/2008, on 3,157 shares on 6/7/2009, on 3,157 shares on 6/7/2010 and on 3,157 shares on 6/7/2011.

(3) Includes 7,452 shares of restricted stock, restrictions on 1,863 shares will lapse on 9/19/2008, on 1,863 shares on 9/19/2009, on 1,863 shares on 9/19/2010 and on 1,863 shares on 9/19/2011.

(4) 3 Year Vesting: 6,955 shares on 9/19/2008, 6,955 shares on 9/19/2009 and 6,956 shares on 9/19/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.