Rankin Thomas Parker Form 5 February 14, 2019

Stock

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102

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569

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11/08/2018

**OMB APPROVAL** 

#### FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Rankin Thomas Parker Symbol NACCO INDUSTRIES INC [NC] (Check all applicable) (First) 3. Statement for Issuer's Fiscal Year Ended (Last) (Middle) (Month/Day/Year) Director 10% Owner Officer (give title \_\_X\_\_ Other (specify 12/31/2018 below) below) NACCO INDUSTRIES, Member of a group INC., Â 5875 LANDERBROOK DRIVE, STE. 220 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) **MAYFIELD** \_X\_ Form Filed by One Reporting Person HEIGHTS, OHÂ 44124 Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. 7. Nature of 1. Title of 2. Transaction Date 2A. Deemed 4. Securities Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Ownership Indirect Beneficial (Instr. 3) Code Disposed of (D) Beneficially Form: Ownership (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at Direct (D) (Instr. 4) end of or Indirect Issuer's (I) Fiscal Year (Instr. 4) (A) (Instr. 3 and or 4) Amount (D) Price Class A Â Common 11/08/2018 G 102 A 16,134 I By RAII (2) (1) Stock Class A Â Common 11/08/2018 G 205 16,134 Ι By RAII (2) (1)

### Edgar Filing: Rankin Thomas Parker - Form 5

Class A Common Stock						\$ 0 (1)			By RAII/Nephew (3)
Class A Common Stock	11/08/2018	Â	G	205	A	\$ 0 (1)	569	I	By RAII/Nephew (3)
Class A Common Stock	11/08/2018	Â	G	102	A	\$ 0 (1)	761	I	By RAII/Niece
Class A Common Stock	11/08/2018	Â	G	205	A	\$ 0 (1)	761	I	By RAII/Niece
Class A Common Stock	Â	Â	Â	Â	Â	Â	3,400	D	Â
Class A Common Stock	Â	Â	Â	Â	Â	Â	5,007	I	By Trust (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit.	le and	8. Price of	9.
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	int of	Derivative	of
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	D
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Se
		Derivative				Securities			(Instr.	3 and 4)		В
		Security				Acquired						O
						(A) or						Eı
						Disposed						Is
						of (D)						Fi
						(Instr. 3,						(I
						4, and 5)						
										Amount		
						Date Exercisable	Expiration Date	Title	or Number			
									of			
					(A) (D)				Shares			
						(A) (D)				Shares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other Â Â Rankin Thomas Parker Member of a group NACCO INDUSTRIES, INC.

2 Reporting Owners

5875 LANDERBROOK DRIVE, STE. 220 MAYFIELD HEIGHTS, OHÂ 44124

# **Signatures**

/s/ Jesse L. Adkins, attorney-in-fact 02/14/2019

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) N/A
- (2) Represents Reporting Person's proportionate interest in shares held by Rankin Associates II, L.P.

Date

- (3) Represents the Reporting Person's nephew's proportionate limited partnership interests in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of the nephew. Reporting Person disclaims beneficial ownership of all such shares.
- (4) Represents the Reporting Person's niece's proportionate limited partnership interests in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of the niece. Reporting Person disclaims beneficial ownership of all such shares.
- (5) Thomas P.K. Rankin, Trustee of the trust created by the agreement, dated February 2, 2011, as supplemented, amended and restated, between Thomas P.K. Rankin, as trustee, and Thomas P.K. Rankin, creating a trust for the benefit of Thomas P.K. Rankin.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3